



# CORE-LEVEL SPECTROSCOPY WITH STOCHASTIC (XFEL) X-RAY PULSES

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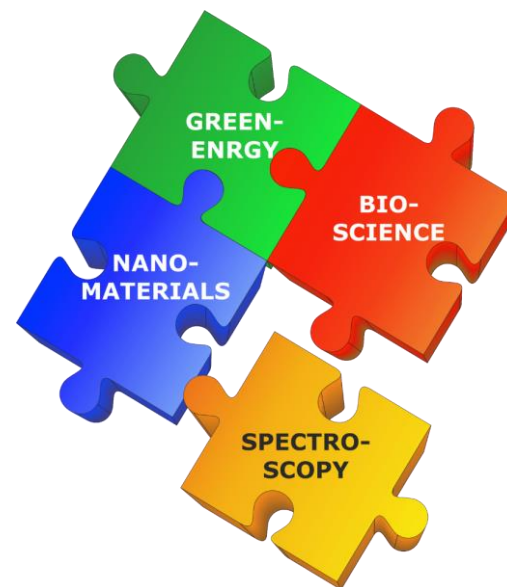


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**Laboratory** X-ray spectrometers/methods

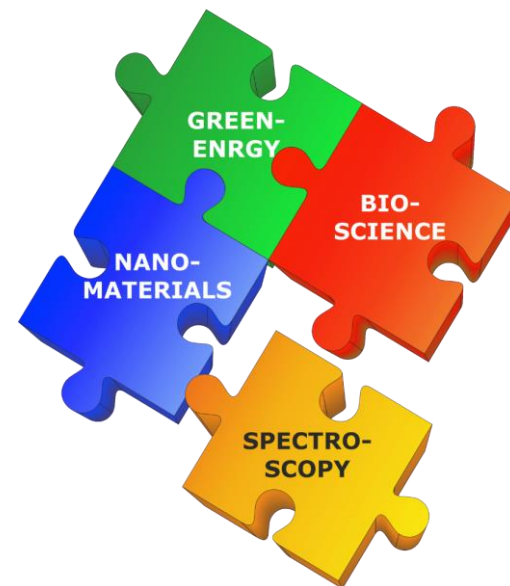
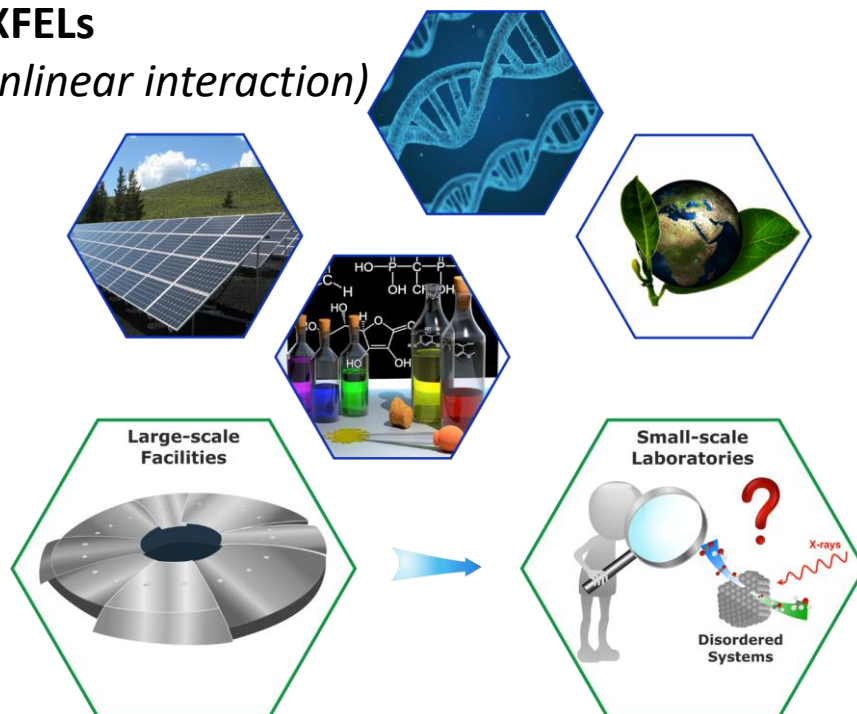
*(XAS/XES spectrometer setups, sample delivery systems, sample cells development)*

**Synchrotron** research

*(spectroscopy on biological and chemical systems)*

Science with **XFELs**

*(dynamics, nonlinear interaction)*



*We are open for networking  
and collaborative links!*

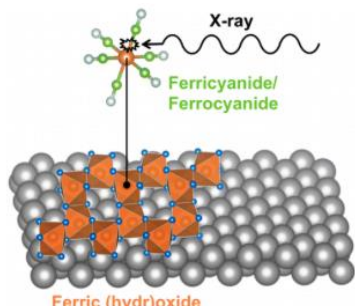


# CORE-LEVEL SPECTROSCOPY WITH STOCHASTIC (XFEL) X-RAY PULSES

*Inception of X-ray damage mechanism (molecules in solution)*

*RIXS/RXES spectroscopy without monochromator (nanoparticles)*

## X-ray induced sample damage



M. Risch et al., *J. Phys. Chem. C* 2015, 119, 33, 18903

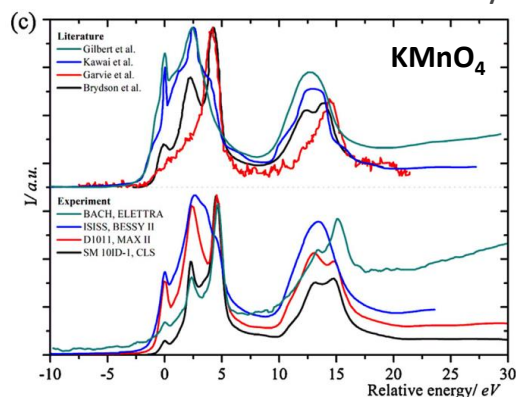
X-ray induced damage to radiation-sensitive samples is a phenomenon well-known in X-ray community in the energy range of hard X-rays.

Sample exposition to X-rays:

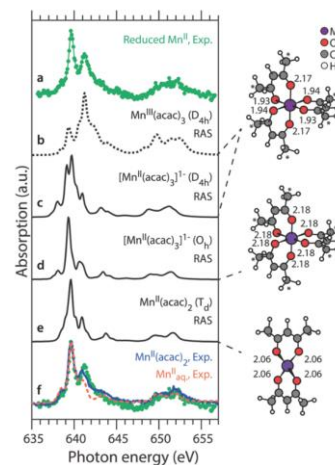
Photo-reduction      Photo-oxidation  
(sample and matrix dependent)

Challenge of X-ray radiation damage is well recognized but rarely studied systematically

Systematic review of spectral differences in literature data in a function of X-ray dose



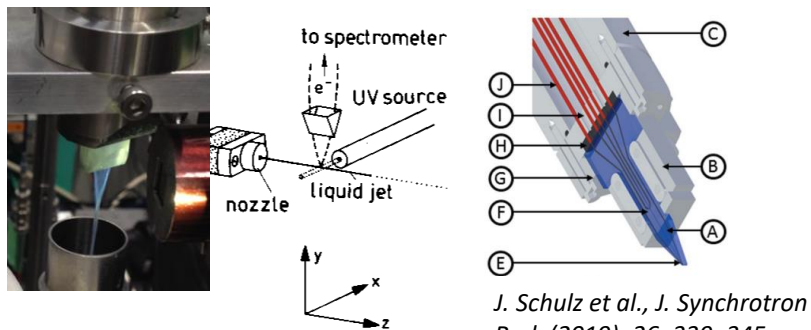
M.M. van Schooneveld, S.DeBeer *J. El. Spectr. Rel. Phenom.* 198 (2015) 31–56.



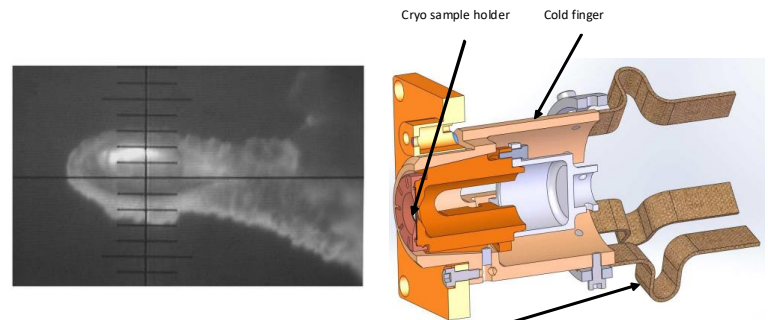
M. Kubin et al., *Phys.Chem.Chem.Phys.*, 2018, 20, 1681.

## Reducing effects of the X-ray sample damage

### Liquid jet samples, samples circulations

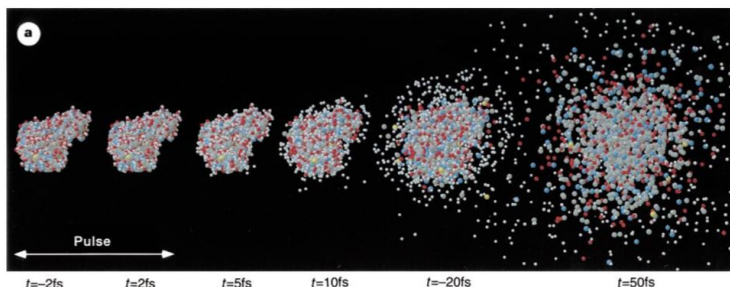


### Cryo-cooling techniques

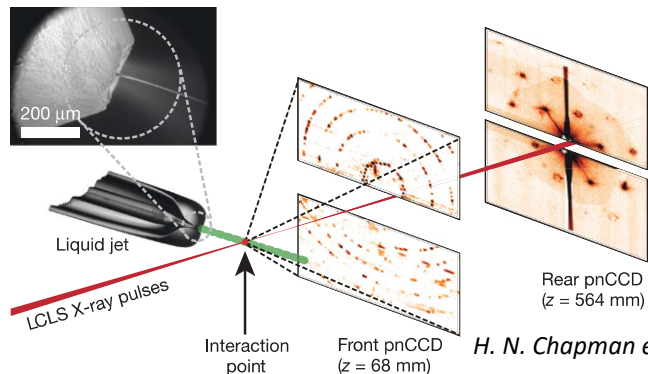


### Use of XFEL radiation: *Probe-before-destroy* methodology

“Probe-before-destroy” methodology permitted measurements of intact specimens using ultra-short duration XFEL pulses. Atomic movement, generally on the order of  $>50$  fs, regulates the maximum pulse duration for intact specimen measurements.



R. Neutze et al., *Nature* 406, 752 (2000).



H. N. Chapman et al., *Nature* 470, 73–77 (2011).



# Mechanism of electronic damage with XFEL pulses

## Global radiation damage

*e.g. long-range breakdown of a crystal lattice*

> 50 fsec

(regulated by atomic movements)

## Local radiation damage

*e.g. local electronic structure changes by primary/secondary events*

< 50 fsec

(regulated by atomic transitions)

- Mechanisms of X-ray damage? Time scales? X-ray damage products? X-ray fluence?
- Can X-ray spectroscopy be used to follow femtosecond electronic sample changes?

*Nature, 466, 56 (2010)*

## Femtosecond electronic response of atoms to ultra-intense X-rays

L. Young<sup>1</sup>, E. P. Kanter<sup>1</sup>, B. Krässig<sup>1</sup>, Y. Li<sup>1</sup>, A. M. March<sup>1</sup>, S. T. Pratt<sup>1</sup>, R. Santra<sup>1,2</sup>, S. H. Southworth<sup>1</sup>, N. Rohringer<sup>3</sup>, L. F. DiMauro<sup>4</sup>, G. Doumy<sup>4</sup>, C. A. Roedig<sup>4</sup>, N. Berrah<sup>5</sup>, L. Fang<sup>5</sup>, M. Hoener<sup>5,6</sup>, P. H. Bucksbaum<sup>7</sup>, J. P. Cryan<sup>7</sup>, S. Ghimire<sup>7</sup>, J. M. Glowia<sup>7</sup>, D. A. Reis<sup>7</sup>, J. D. Bozek<sup>8</sup>, C. Bostedt<sup>8</sup> & M. Messerschmidt<sup>8</sup>

*... At this fluence, the neon target inevitably changes during the course of a single femtosecond-duration X-ray pulse – by sequentially ejecting electrons – to produce fully-stripped neon through absorption of six photons... Such transparency, due to the presence of inner-shell vacancies, can be induced in all atomic, molecular and condensed matter systems.*

*Science, 340, 491 (2013)*

## Simultaneous Femtosecond X-ray Spectroscopy and Diffraction of Photosystem II at Room Temperature

Jan Kern,<sup>1,2</sup> Roberto Alonso-Mori,<sup>2</sup> Rosalie Tran,<sup>1</sup> Johan Hattne,<sup>1</sup> Richard J. Gildea,<sup>1</sup> Nathaniel Echols,<sup>1</sup> Carina Glöckner,<sup>3</sup> Julia Hellmich,<sup>3</sup> Hartawan Laksono,<sup>4</sup> Raymond G. Sierra,<sup>4</sup> Benedikt Lassalle-Kaiser,<sup>1\*</sup> Sergey Koroidov,<sup>5</sup> Alyssa Lampe,<sup>1</sup> Guangye Han,<sup>1</sup> Sheraz Gul,<sup>1</sup> Dörte DiFiore,<sup>3</sup> Despina Milathianaki,<sup>2</sup> Alan R. Fry,<sup>2</sup> Alan Miahnahri,<sup>2</sup> Donald W. Schafer,<sup>2</sup> Marc Messerschmidt,<sup>2</sup> M. Marvin Seibert,<sup>2</sup> Jason E. Koglin,<sup>2</sup> Dimosthenis Sokaras,<sup>6</sup> Tsu-Chien Weng,<sup>6</sup> Jonas Sellberg,<sup>5,7</sup> Matthew J. Latimer,<sup>6</sup> Ralf W. Grosse-Kunstleve,<sup>1</sup> Petrus H. Zwart,<sup>4</sup> William E. White,<sup>2</sup> Pieter Glatzel,<sup>9</sup> Paul D. Adams,<sup>1</sup> Michael J. Bogan,<sup>2,4</sup> Garth J. Williams,<sup>2</sup> Sébastien Boutet,<sup>2</sup> Johannes Messinger,<sup>5</sup> Athina Zouni,<sup>3</sup> Nicholas K. Sauter,<sup>1</sup> Vittal K. Yachandra,<sup>1†</sup> Uwe Bergmann,<sup>2†</sup> Junko Yano<sup>1†</sup>

*... Our simultaneous XRD-XES study shows that the PSII crystals are intact during our measurements at the LCLS, not only with respect to the structure of PSII, but also with regard to the electronic structure of the highly-sensitive Mn4CaO5 cluster*

*At very similar experimental conditions...*



# X-ray damage with XFEL pulses: Experimental setup



*Linac Coherent Light Source*

<https://lcls.slac.stanford.edu/overview>

## Beam parameters & sample:

XPP station of LCLS

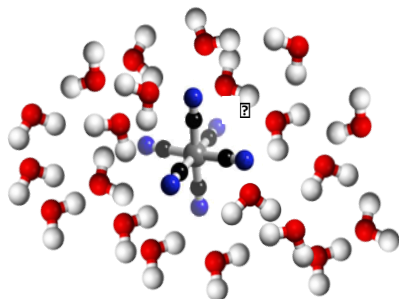
7200eV (above Fe K-edge)

Pulse length = 30fs

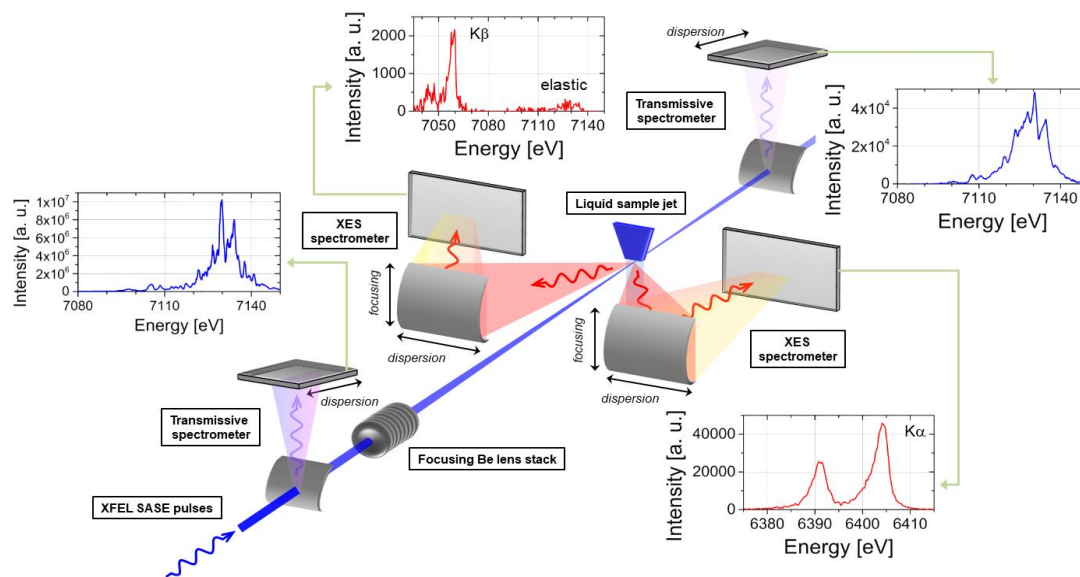
$3-6 \times 10^{11}$  photons/pulse

Down to  $3 \times 3 \text{ } \mu\text{m}^2$  beam size

Sample: 100 mMol  $\text{Fe}(\text{CN})_6/\text{H}_2\text{O}$



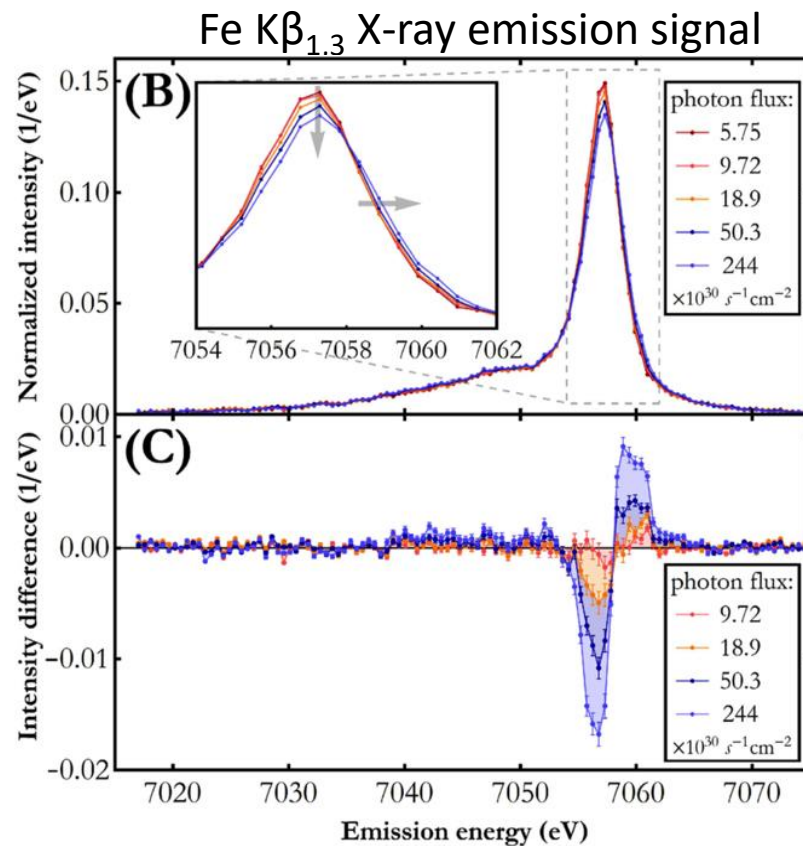
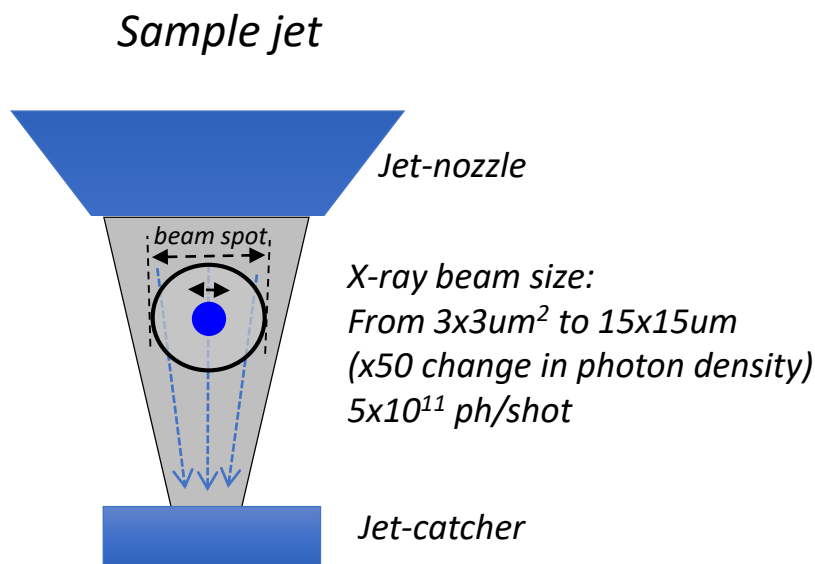
## X-ray spectroscopy setup to track electronic structure changes:



$\text{K}\beta_{1,3}$  X-ray emission signal as a probe of chemical and spin state of Fe metal center

# Molecules electronic damage with XFEL pulses

## Experimental data



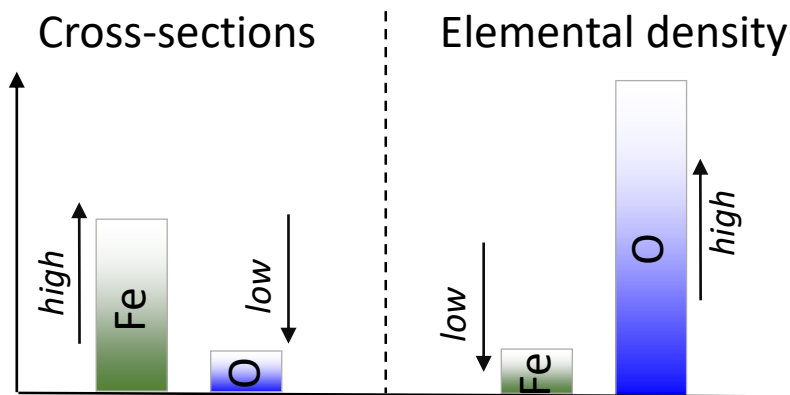
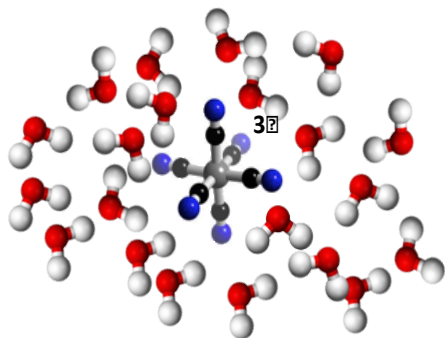
X-ray induced change of Fe oxidation state to higher value

# Molecules electronic damage with XFEL pulses

## X-ray interaction with matter

What are the physical processes leading to the Fe X-ray induced damage?

X-ray interaction with sample



95% of X-rays are absorbed by Oxygen



# Molecules electronic damage with XFEL pulses

## Electron interaction with matter

95% of X-rays are absorbed by Oxygen



Release of energetic electrons

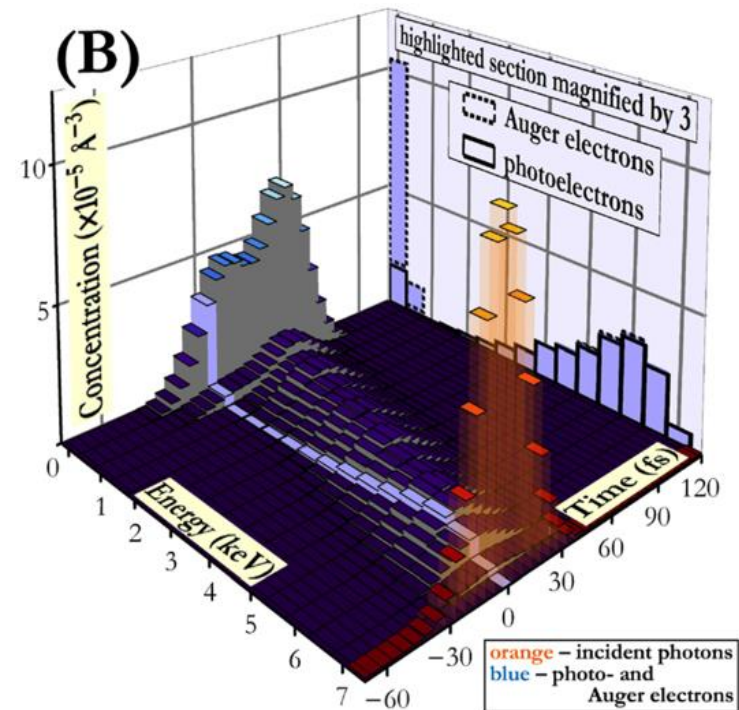
Electrons mean free path and mean free time:

6668eV  $e^-$  = **17nm** in **0.4fsec**

7170-7190eV  $e^-$  = **19nm** in **0.4.fsec**

521eV  $e^-$  = **2.3nm** in **5.7fsec**

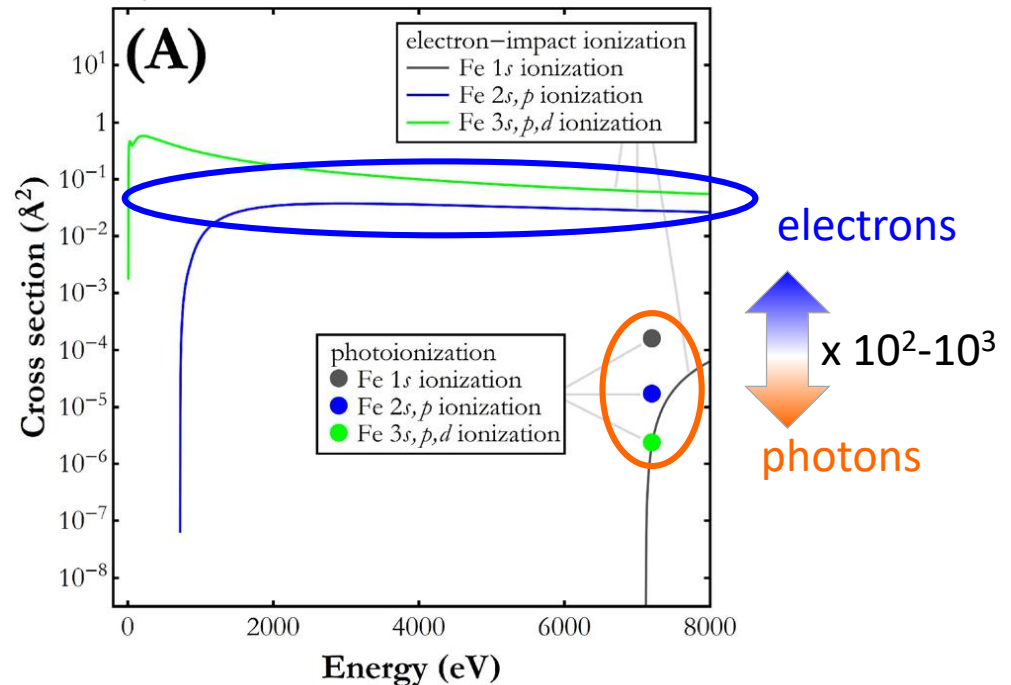
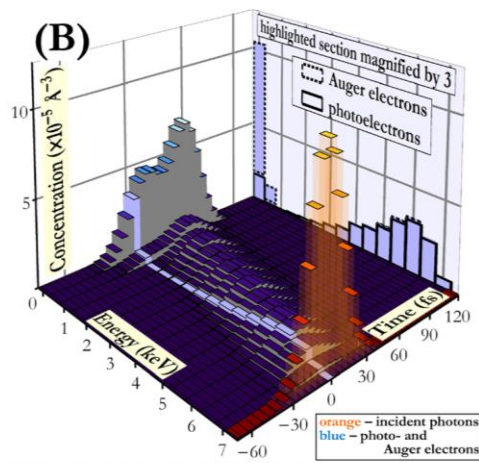
Time- and energy-dependent  
MC simulations for electrons in



# Molecules electronic damage with XFEL pulses

## Electron impact ionization

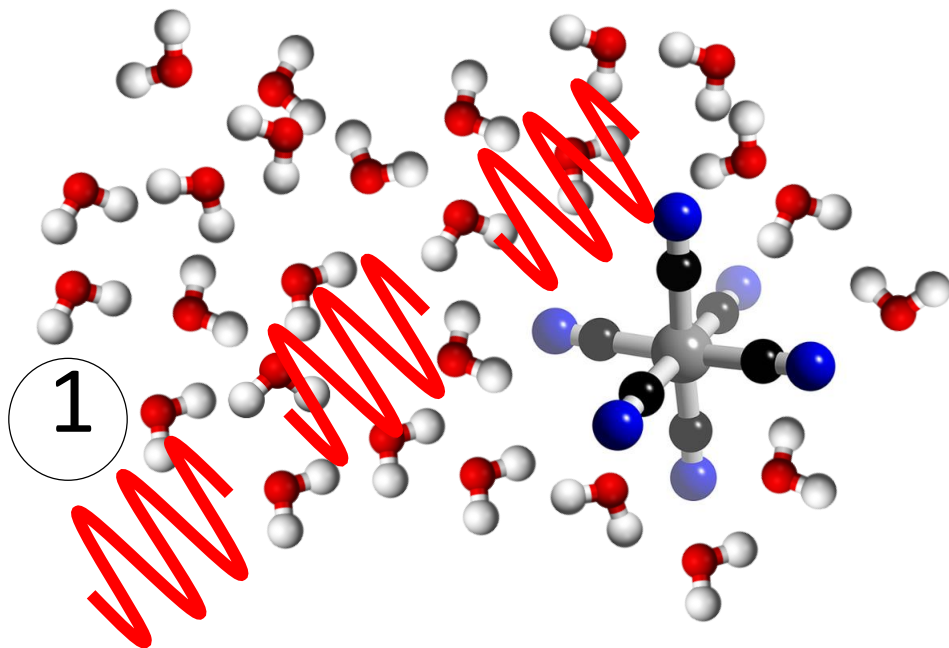
Photon- and electron-impact ionization cross-sections



- ✧ Electron cross-sections are  $10^2 - 10^3$  higher than photo-ionization
- ✧ High energy electrons will interact with outmost shell Fe-electrons
- ✧ Unlike photons, with binary interaction character, the electrons will interact with sample material multiple times

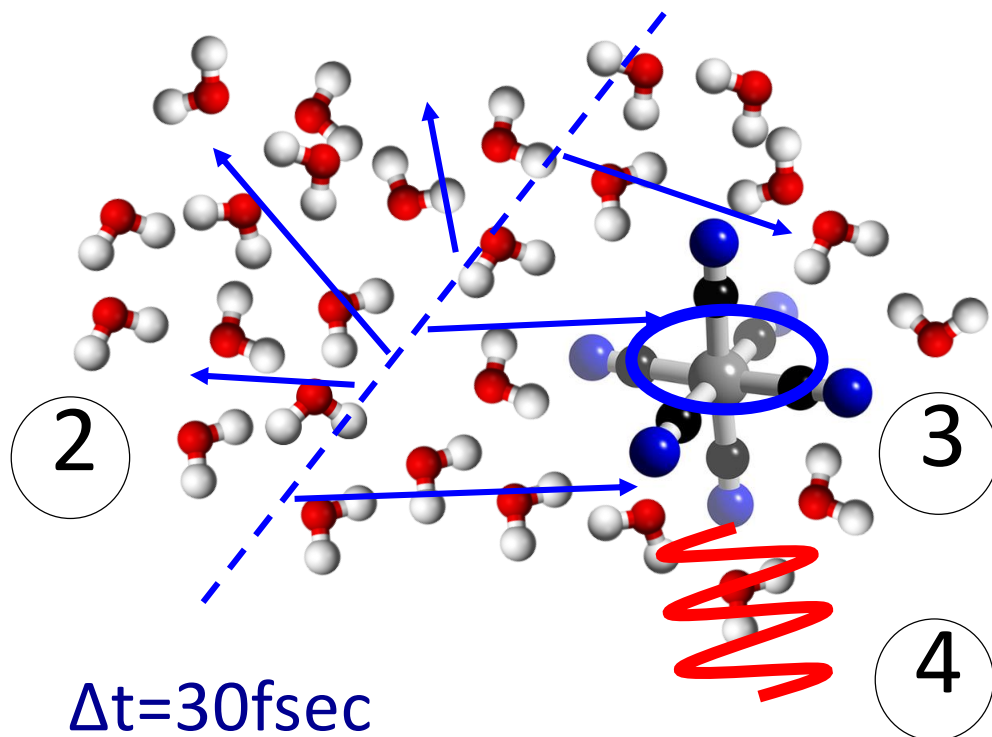
Electron-impact interaction as primary source of Fe-molecule ionization

## Inception of X-ray damage of molecules in solution



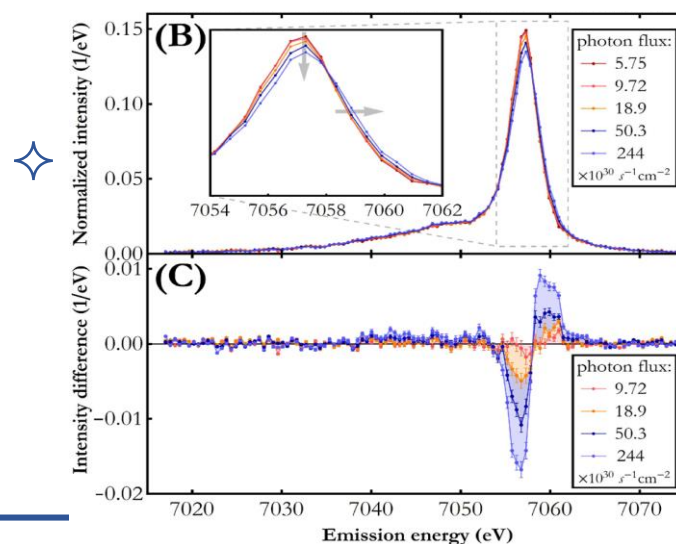
✧ X-ray interaction with solvent

# Inception of X-ray damage of molecules in solution



Highly charge state molecule with  
preserved atomic positions

- ✧ X-ray interaction with solvent
- ✧ Generation of energetic electrons
- ✧ Electron – impact Fe ionization





# Inception of X-ray damage of molecules in solution

## Quantitative and qualitative analysis

Monte-Carlo simulations\* with fundamental atomic parameters:

Calculated distribution of Fe charge state:

1 electron hole-Fe<sup>3+</sup> : **29%**

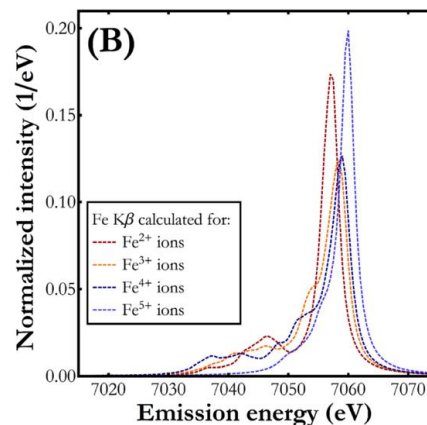
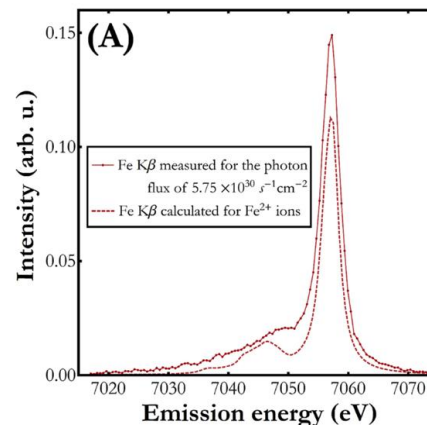
2 electron hole-Fe<sup>4+</sup>: **31%**

3 electron hole-Fe<sup>5+</sup> : **12 %**

4 electron hole-Fe<sup>6+</sup>: **4 %**

\*Fe- electron impact and the following Auger decays are considered

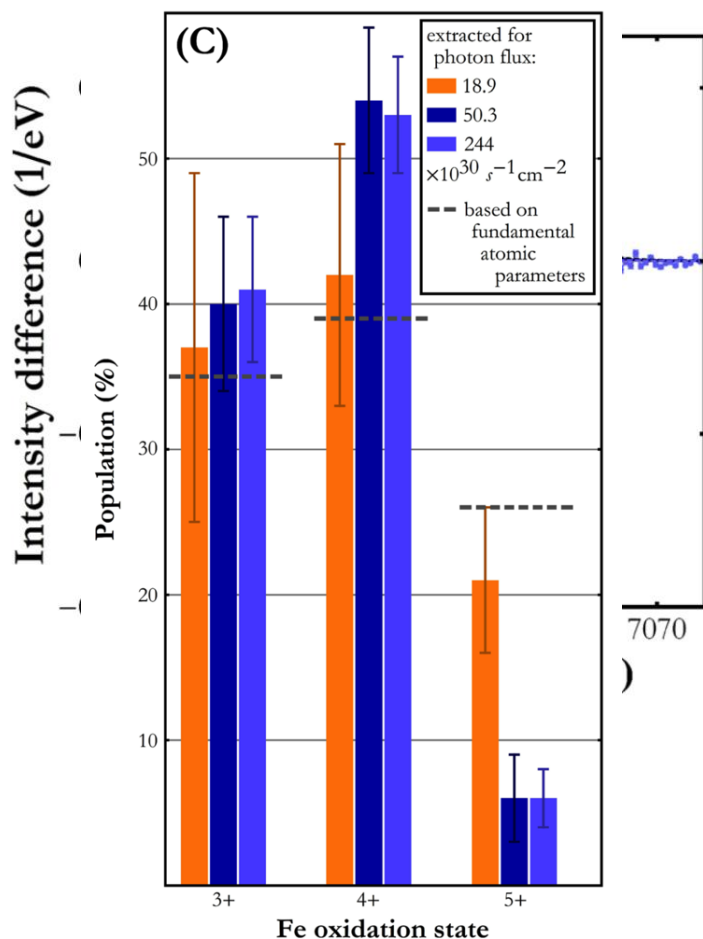
Spectral calculations using crystal-field multiplet (CFM) at different oxidation states. The electronic structure of the hexacyanide complexes were evaluated within the DFT.



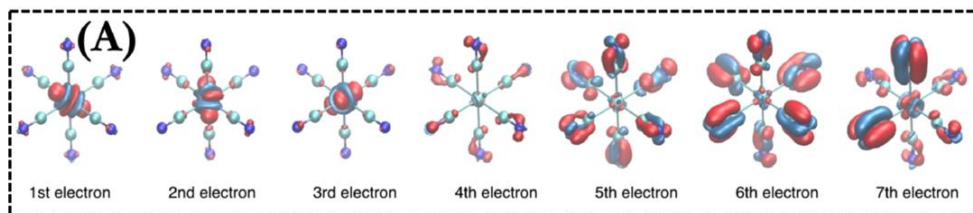
# Inception of X-ray damage of molecules in solution

## Quantitative and qualitative analysis

Charge state distribution



Mechanism of the high Fe valence state creation



Charge density difference maps upon subsequent electron loss.

- ✧ First electron is removed from a metal-dominated  $t_{2g}$  orbital. At the same time electron density increases along Fe-C bond.
- ✧ The next two steps, metal  $t_{2g}$ -centered oxidations (FeV state).
- ✧ Further electrons removal leads to gradual loss of spin, accompanied with electron flow from the ligands to the metal.
- ✧ The system thus approaches Fe(IV) species rather than Fe(VI) when more electrons are lost (Fe(V) state is highest accessible)



## Inception of X-ray damage summary:

- ✧ X-ray photons induce solvent ionization within sub-fs leading to the formation of solvated electrons. The travel range of these electrons amounts in average to tens of nm and the electrons may reach the nearest Fe atoms in less than 1 fs. Fe electron-ionizations increase Fe oxidation state (without affecting molecule's atomic positions).
- ✧ Analysis showed creation of Fe species with distribution of higher oxidation states. DFT predicts Fe<sup>5+</sup> state as highest accessible, lack of electrons is compensated with charge donation from ligands.
- ✧ Mechanism should be valid for on samples embedded in, e.g., solutions or in matrices



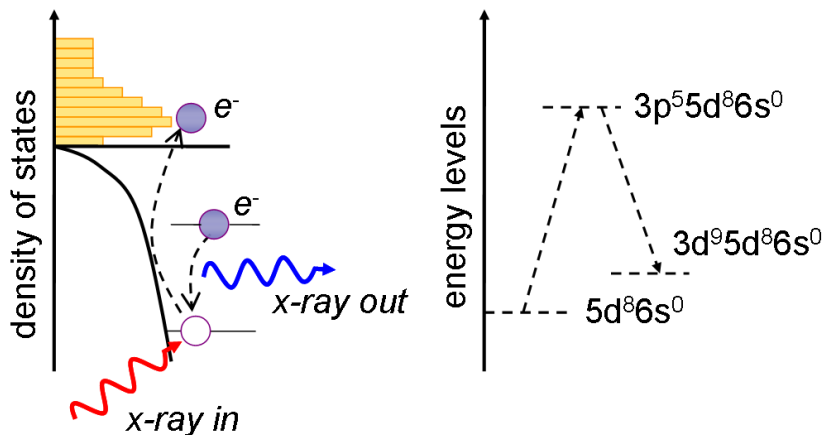
# CORE-LEVEL SPECTROSCOPY WITH STOCHASTIC (XFEL) X-RAY PULSES

*Inception of X-ray damage mechanism (molecules in solution)*

*RIXS/RXES spectroscopy without monochromator (nanoparticles)*

## Resonant X-ray Emission Spectroscopy

### RXES/RIXS spectroscopy

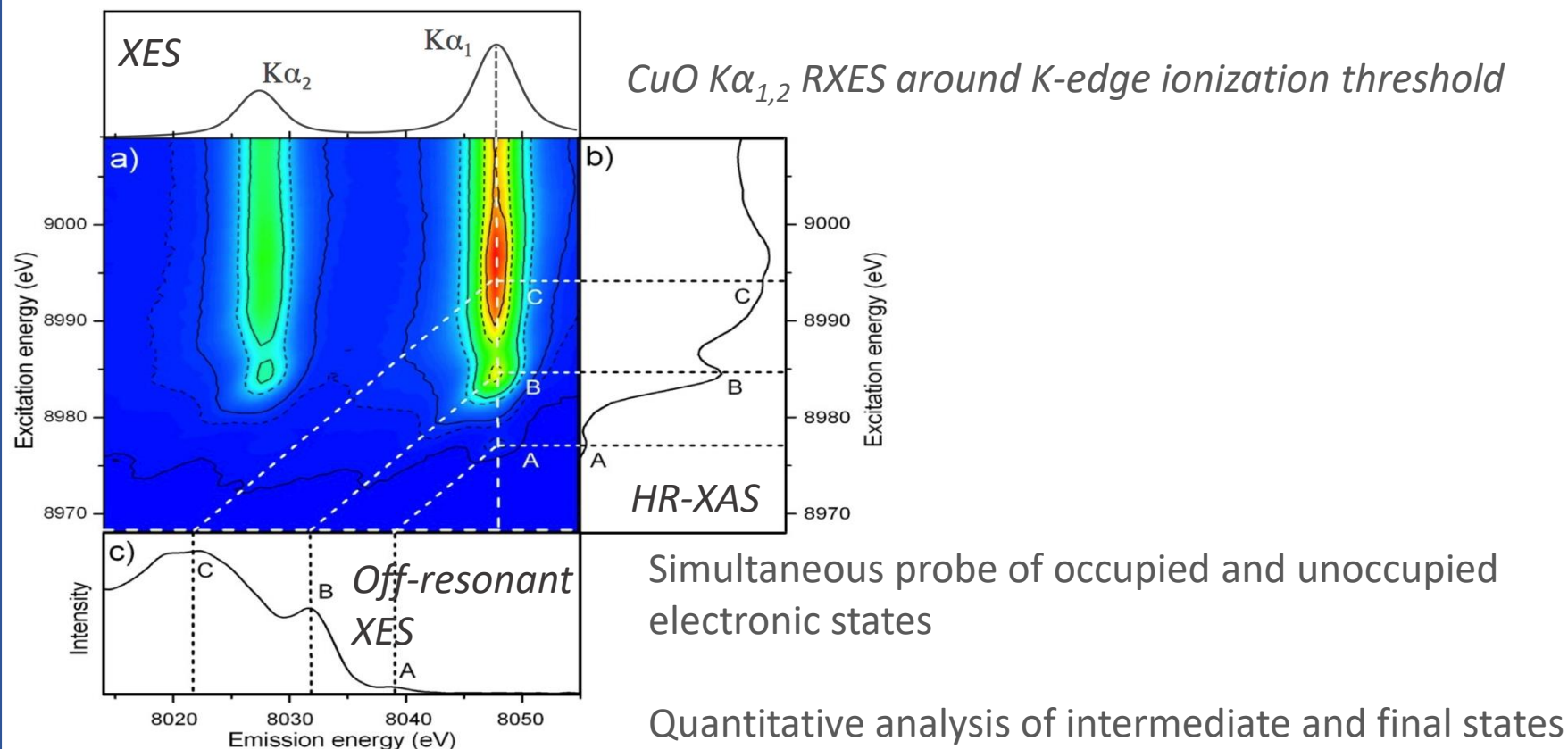


**RXES:** photon-in photon-out technique, a combination of **XAS** and **XES** spectroscopy

**XAS** = unoccupied electronic states

**XES** = occupied electronic states.

## Resonant X-ray Emission Spectroscopy



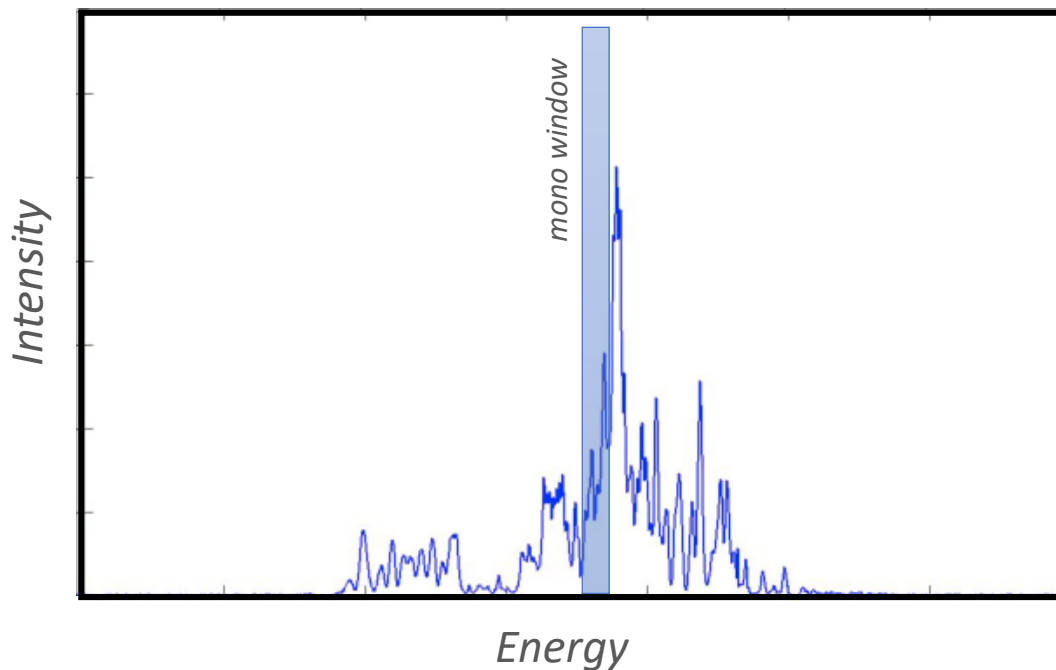
**Requirements:** high energy resolution for incidence and emission X-rays

Monochromator

X-ray spectrometers

## XFEL radiation

### Self-amplified spontaneous emission (SASE) – energy jitter



*SASE – stochastic process: random nature of the generated X-ray pulses leads to large uncertainties in time, space, intensity and energy*

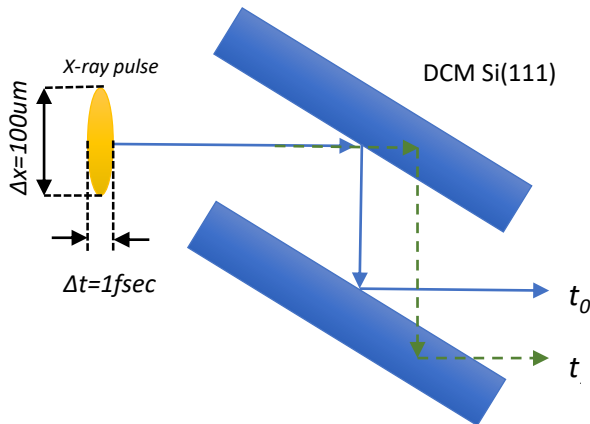
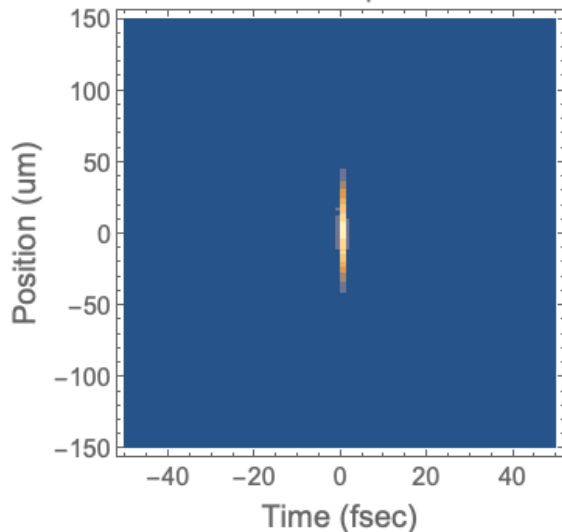
## XFEL radiation

### Temporal broadening of the monochromator

Geometrical considerations

*before DCM*

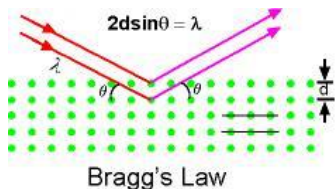
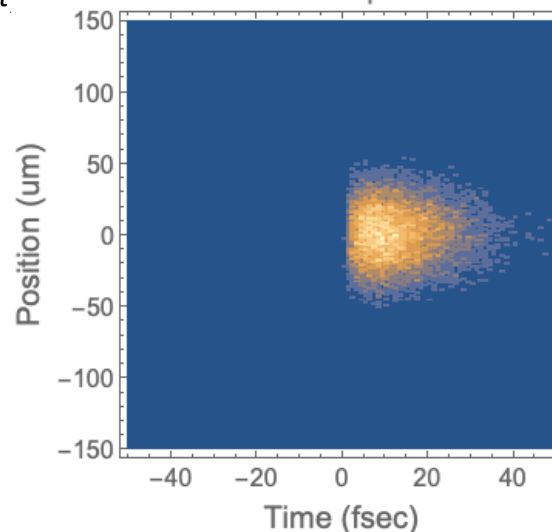
2D event plane



Speed of light = 300 000 000 m/s  
= **0.3 μm / fsec**

*after DCM*

2D event plane



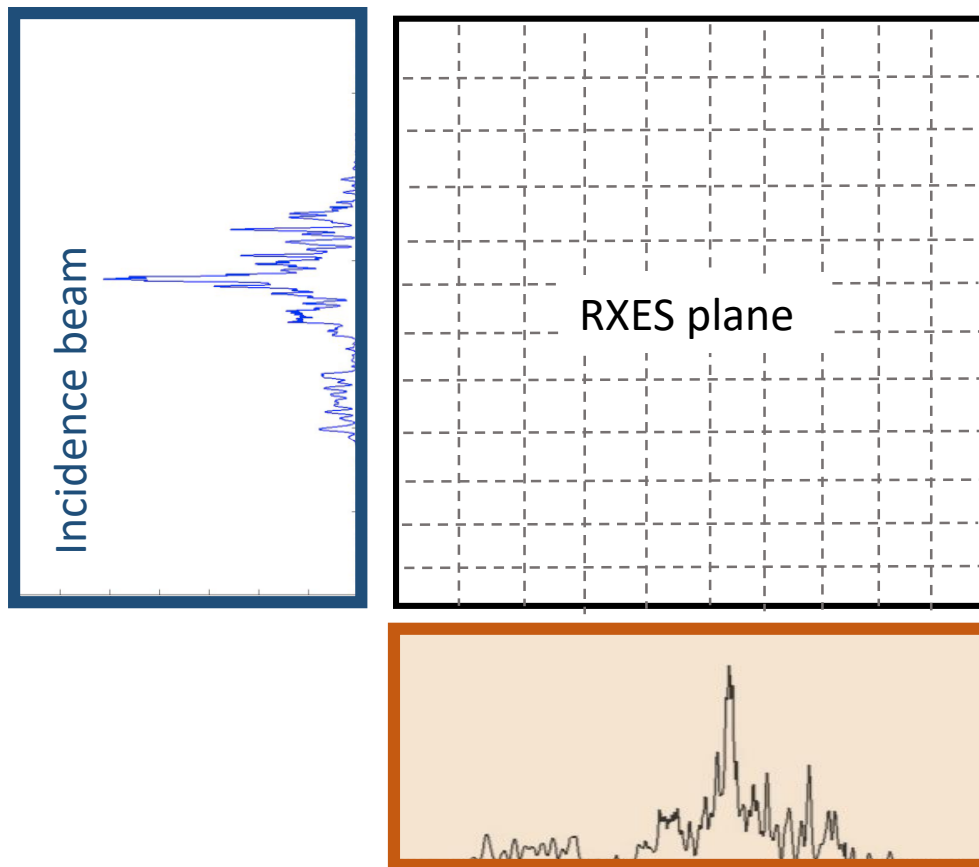
Bushuev, V. et al., *Proc. SPIE* **8141**, 8141 (2011).

J.S. WARK AND H. HE, *Laser and Particle Beams* (1994), vol. 12, no. 3, pp. 507-513

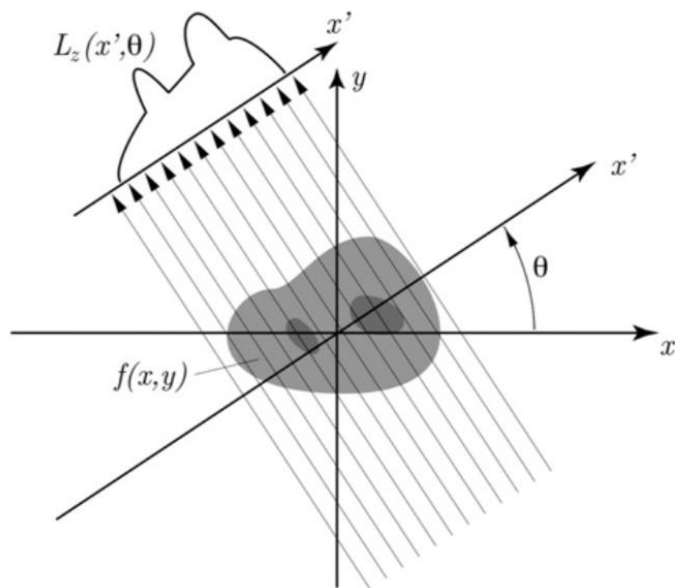
Monochromator induce temporal broadening of the incident X-ray pulse (30-40fsec @ Fe K-edge for 2xSi(111) )

*Time smearing sets the limit on time-resolved experiments*

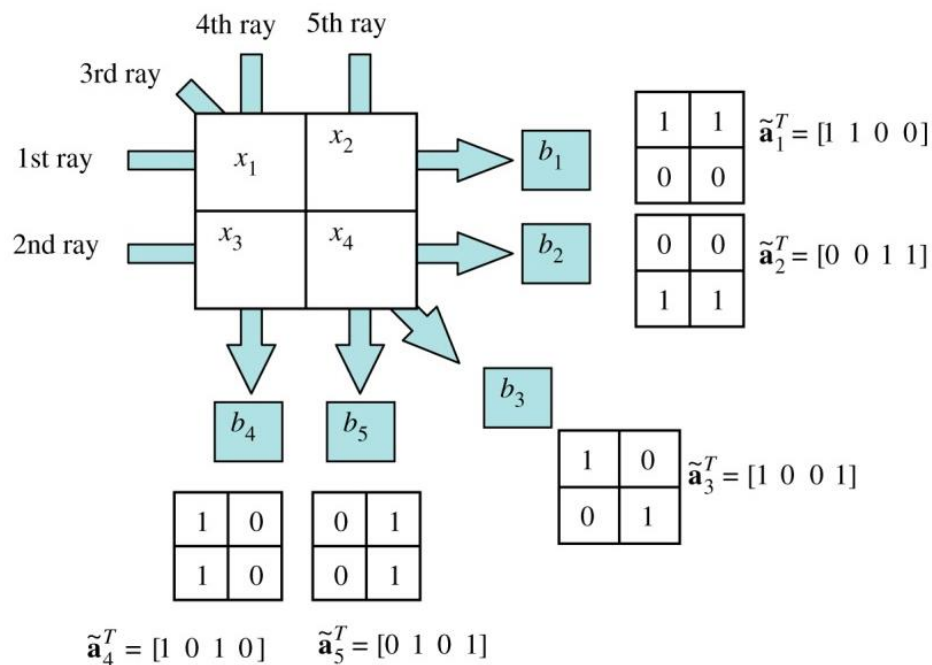
## Self-amplified spontaneous emission (SASE) – energy jitter



## X-ray tomography reconstruction methods

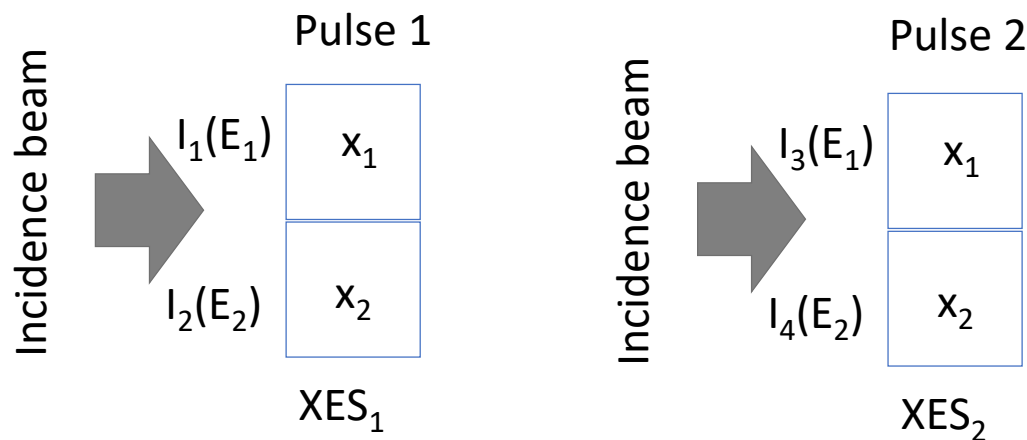


## Iterative reconstruction algorithm



<https://www.ncbi.nlm.nih.gov/>

## X-ray tomography reconstruction methods adapted to RXES with SASE



X-ray emission

$$I_1(E_1) * x_1 + I_2(E_2) * x_2 = XES_1$$

$$I_3(E_1) * x_1 + I_4(E_2) * x_2 = XES_2$$

**Condition:** X-ray Intensity at given incidence energy has to change/vary between pulses

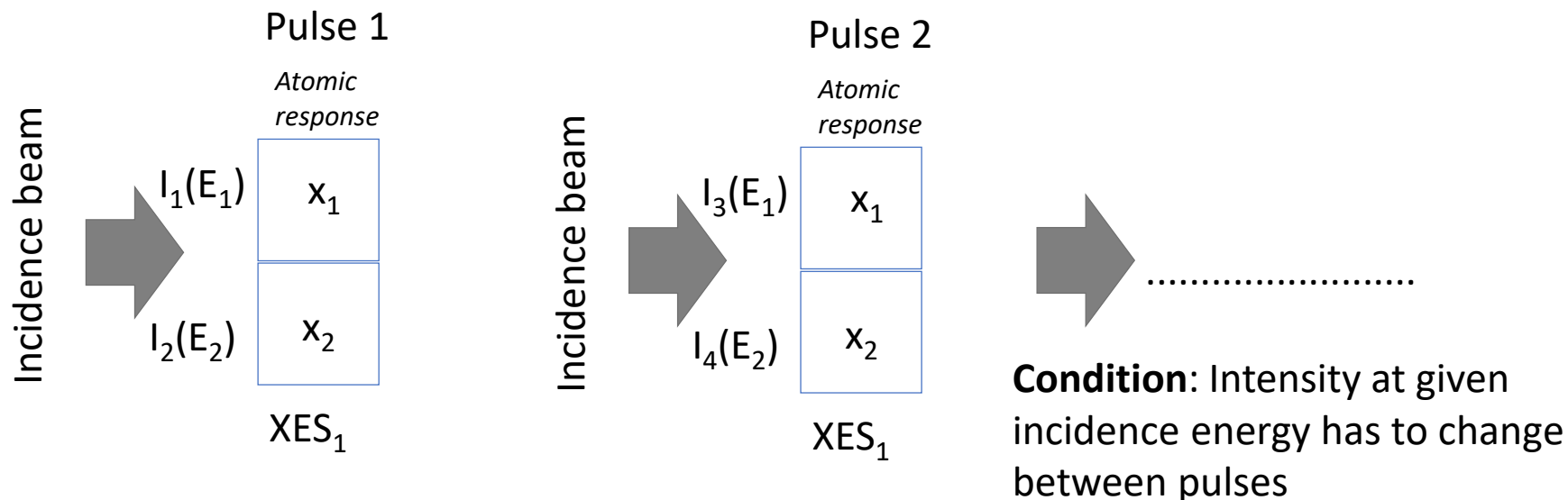
*Solution:*

$$x_1 = [ I_2(E_2) * XES_2 - I_4(E_2) * XES_1 ] / [ I_2(E_2) * I_3(E_1) - I_1(E_1) * I_4(E_2) ]$$

$$x_2 = [ I_1(E_1) * XES_2 - I_3(E_1) * XES_1 ] / [ I_2(E_2) * I_3(E_1) - I_1(E_1) * I_4(E_2) ]$$



## X-ray tomography reconstruction methods adapted to RXES with SASE



*Solution:*

**2 pulses**

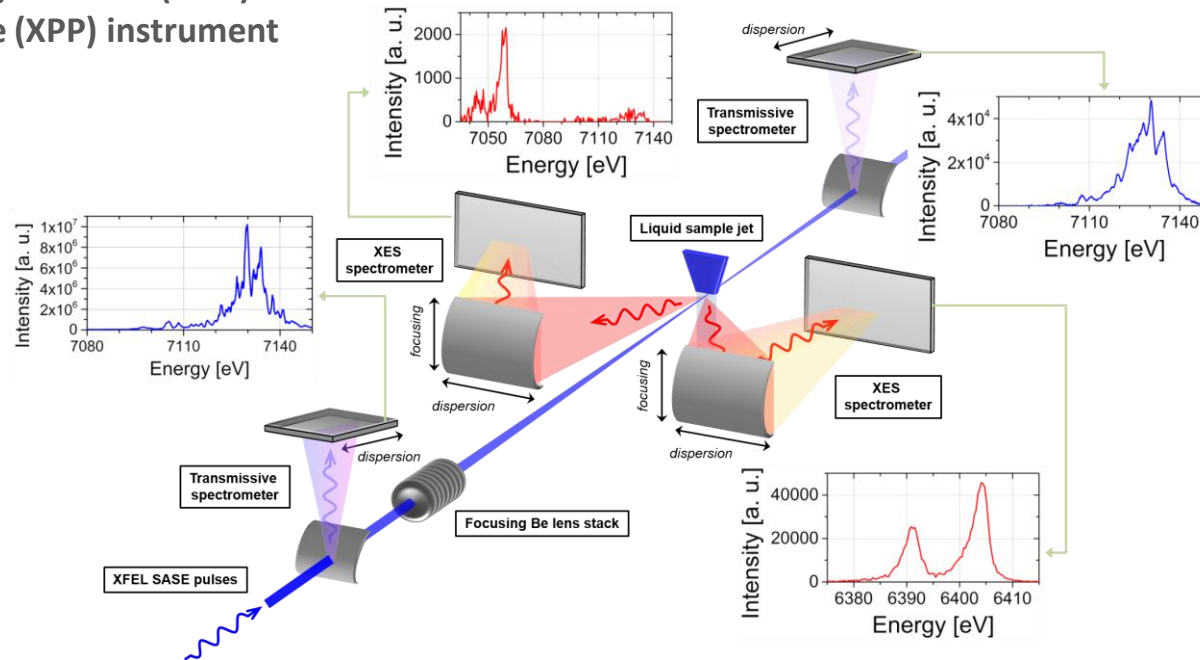
$$\begin{bmatrix} XES_1 \\ XES_2 \end{bmatrix} = S_{2,1} = J_{2,2} \cdot R_{2,1} = \begin{bmatrix} I_1 E_1 & I_3 E_1 \\ I_2 E_2 & I_4 E_2 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \quad R_{2,1} = J_{2,2}^{-1} \cdot S_{2,1}$$

**k pulses**

$$R_{m,n} = J_{k,m}^{-1} \cdot S_{k,n}$$

## RXES/RIXS spectroscopy using SASE pulses

Linac Coherent Light Source (LCLS)  
X-ray pump-probe (XPP) instrument



### X-ray beam properties:

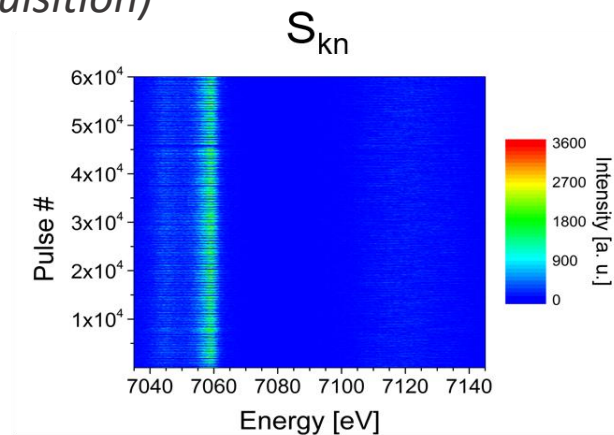
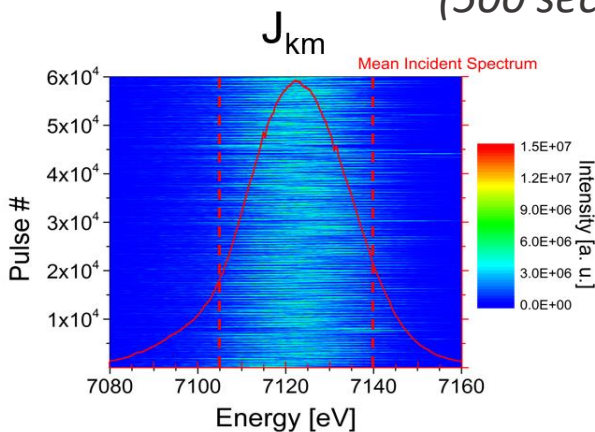
- self-amplified spontaneous emission (SASE)
- repetition rate: 120 Hz
- mean photon energy: 7100 eV (around Fe K-edge)
- pulse duration: 5fs & 30 fs
- number of photons per pulse:  $10^{12}$
- beam spot area on the target changed from 6 to  $241 \mu\text{m}^2$  with Be lenses

### Target:

aqueous dispersion of  $\text{Fe}_2\text{O}_3$  nanoparticles

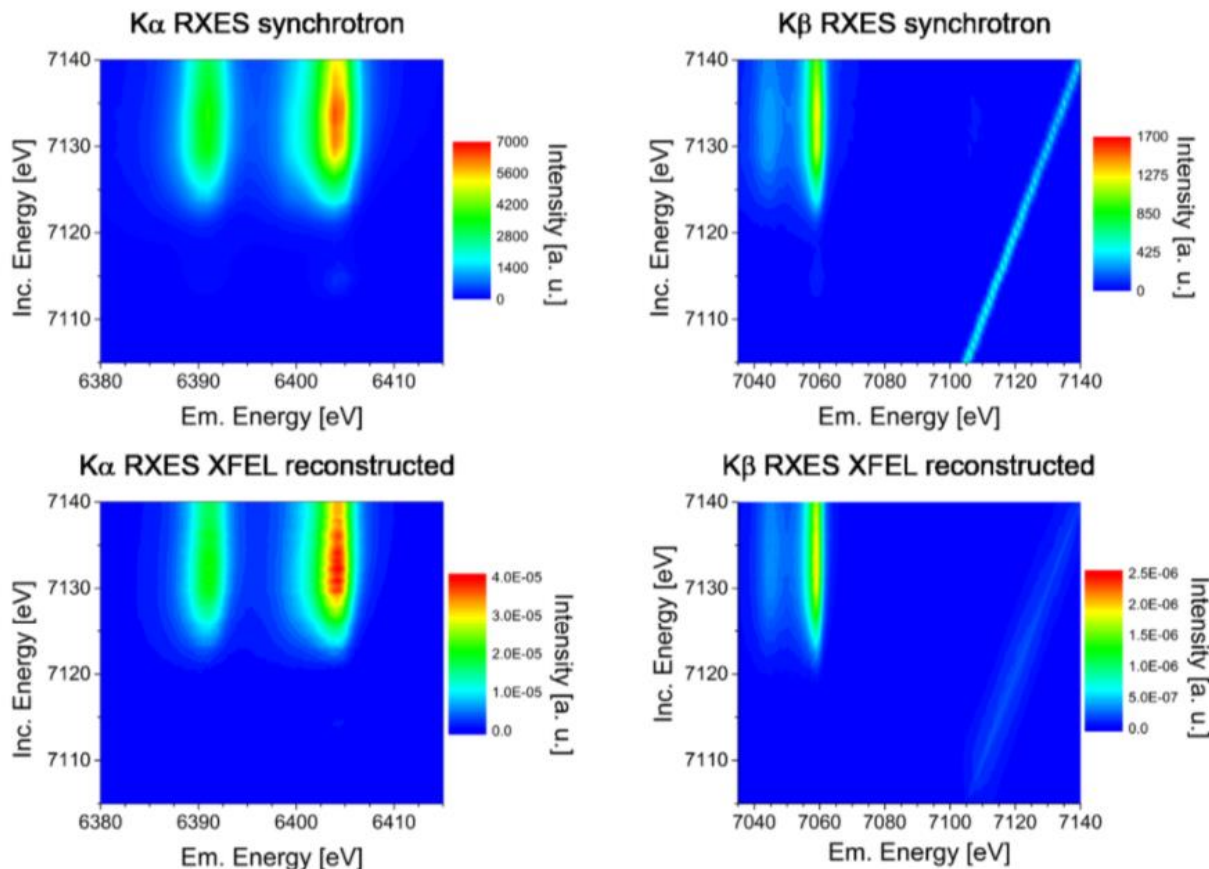


*RXES reconstruction: experimental data*  
(500 sec acquisition)



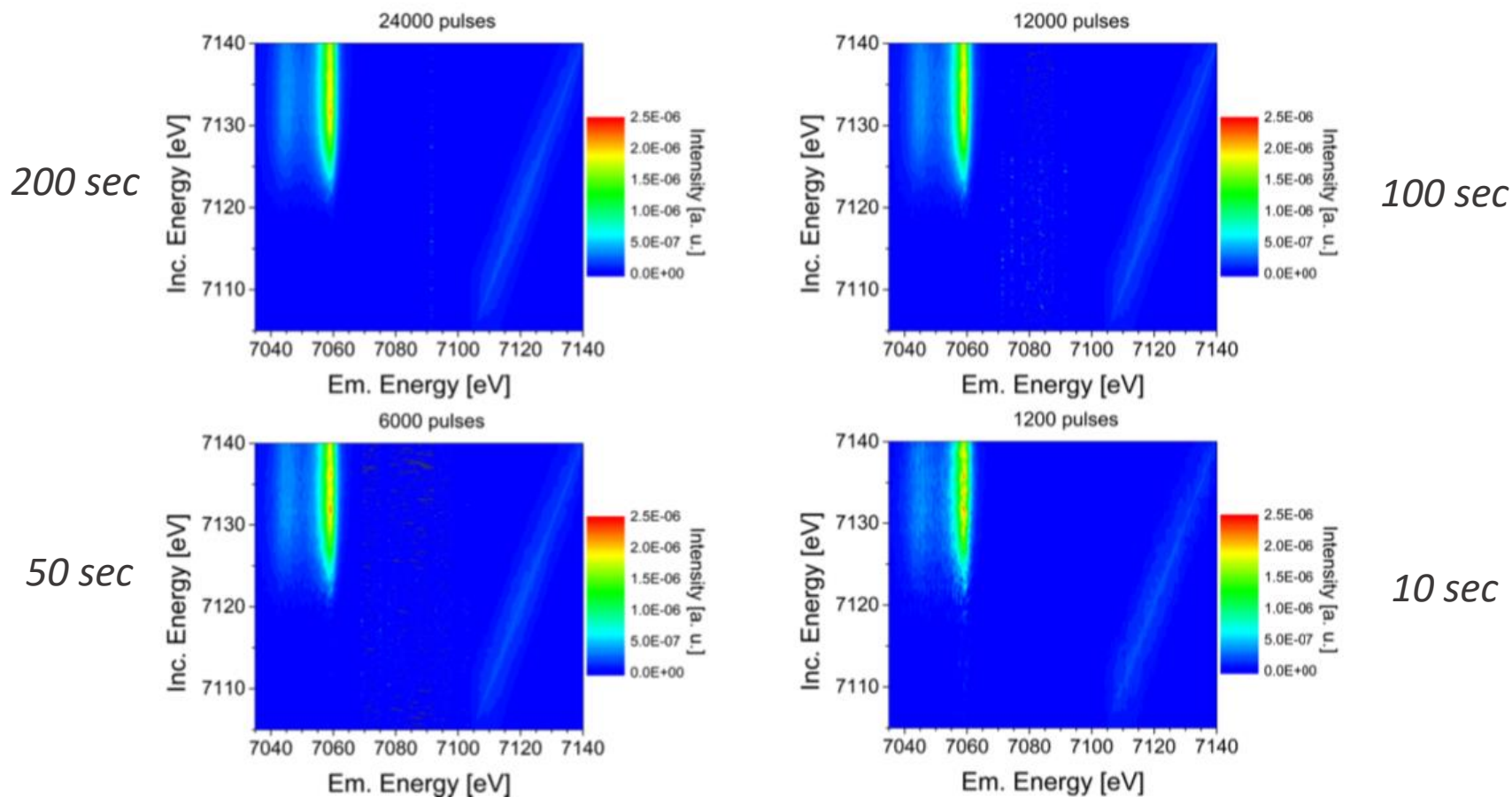


## *RXES reconstruction: comparison to synchrotron data*

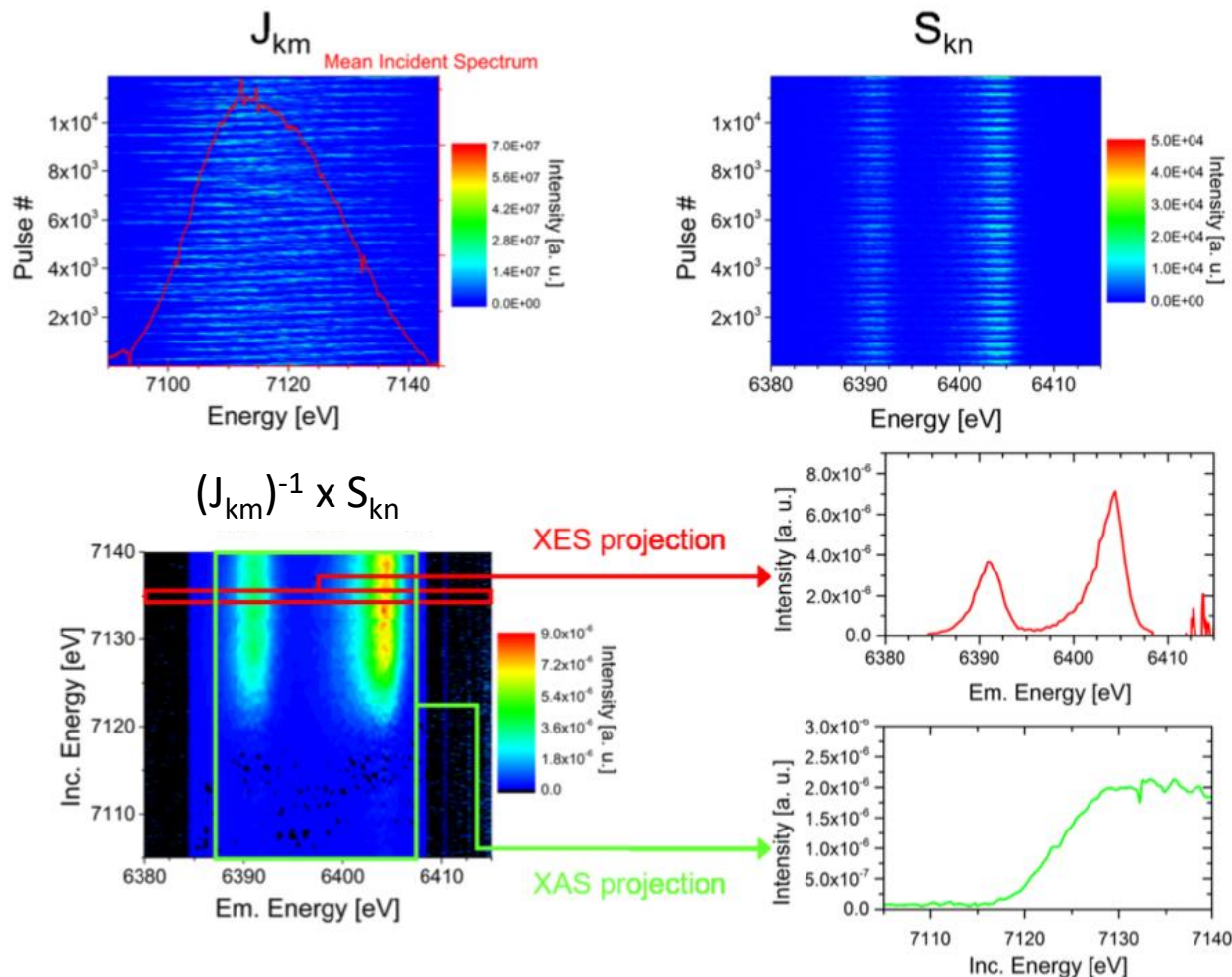




## *RXES reconstruction: no of shots vs. data quality*



## *RXES reconstruction at 5fsec X-ray pulse length*





## What types of experiments are XFELs good at?

XFELs are defined by lots of photons in a very short pulse but the average flux isn't that different from a 3<sup>rd</sup>-generation synchrotron

Only **three types** of experiments benefit from the high peak flux from an XFEL:

1. **Single-shot experiments** that need lots of photons in a short pulse
1. **Pump-probe measurements** where the short pulse allows measurement of fast dynamics
1. **Nonlinear X-ray experiments** that depend nonlinearly on the number of incident X-ray photons



## X-ray spectroscopy

### **Linear** X-ray spectroscopy (absorption & emission):

- weak limit interaction of X-rays with matter
- measurements are performed in *one-photon-in one-photon-out* manner

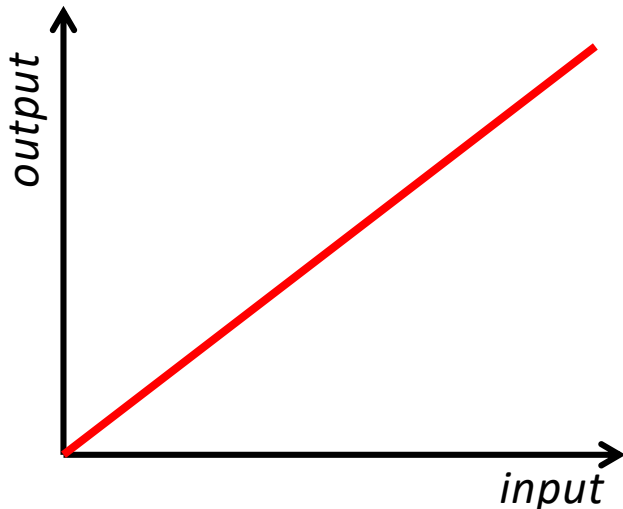
### **Nonlinear** X-ray spectroscopy

- strong X-ray fields
- X-ray signals are not correlated by linear dependence
- processes are based on *multi-photon-in multi-photon-out* interactions

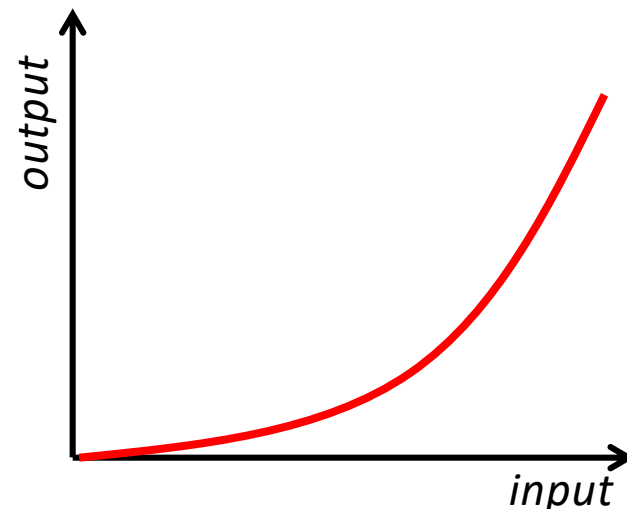
**Nonlinear** system is a system in which the change of the output is not proportional to the change of the input

The **nonlinearities** in the meaning of **photon - matter** interaction, may be described as a change in optical property of the material induced by the high intensity of the beam.

*Linear*



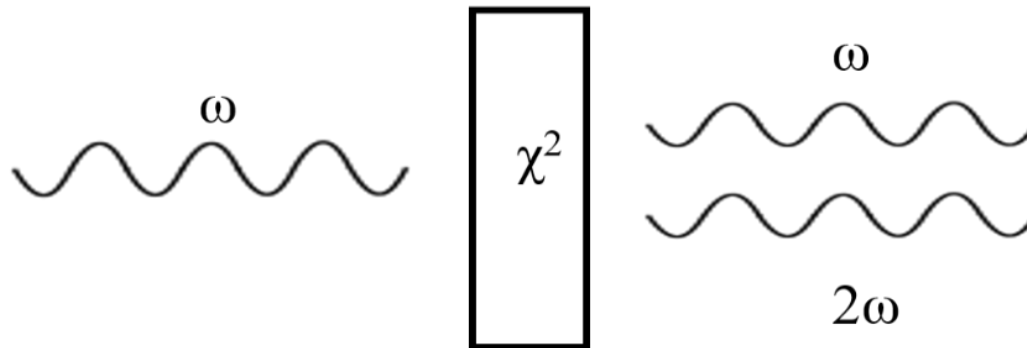
*Nonlinear*



## Nonlinear interactions

The historically first nonlinear phenomenon that was experimentally discovered, was the **second harmonic generation**.

In basic, this effect depend on the creation of the photon with twice the energy of the initial one.





## Nonlinear interactions

Another important nonlinear effect is the **saturable absorption**.

In the linear regime, the absorption of electromagnetic radiation is well described by the linear absorption coefficient  $\mu$ .

However, with the growth of the intensity, the material properties changes, and the linear absorption coefficient  $\mu$  value start to depend on beam intensity :

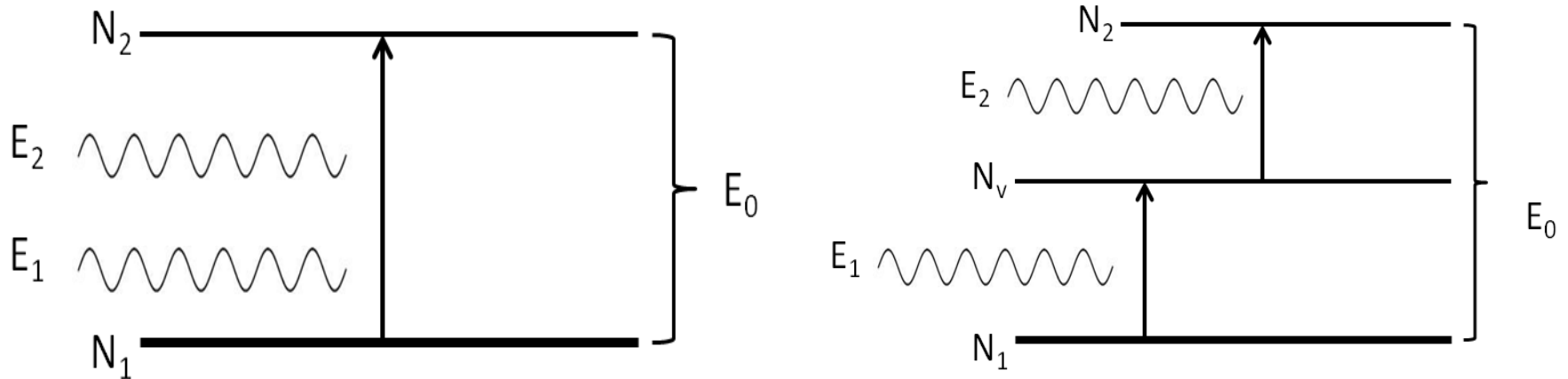
$$\mu = \frac{\mu_0}{1 + \frac{I}{I_s}}$$

where the  $I_s$  is the intensity at which the system reaches the saturation of absorption.



## Nonlinear interactions

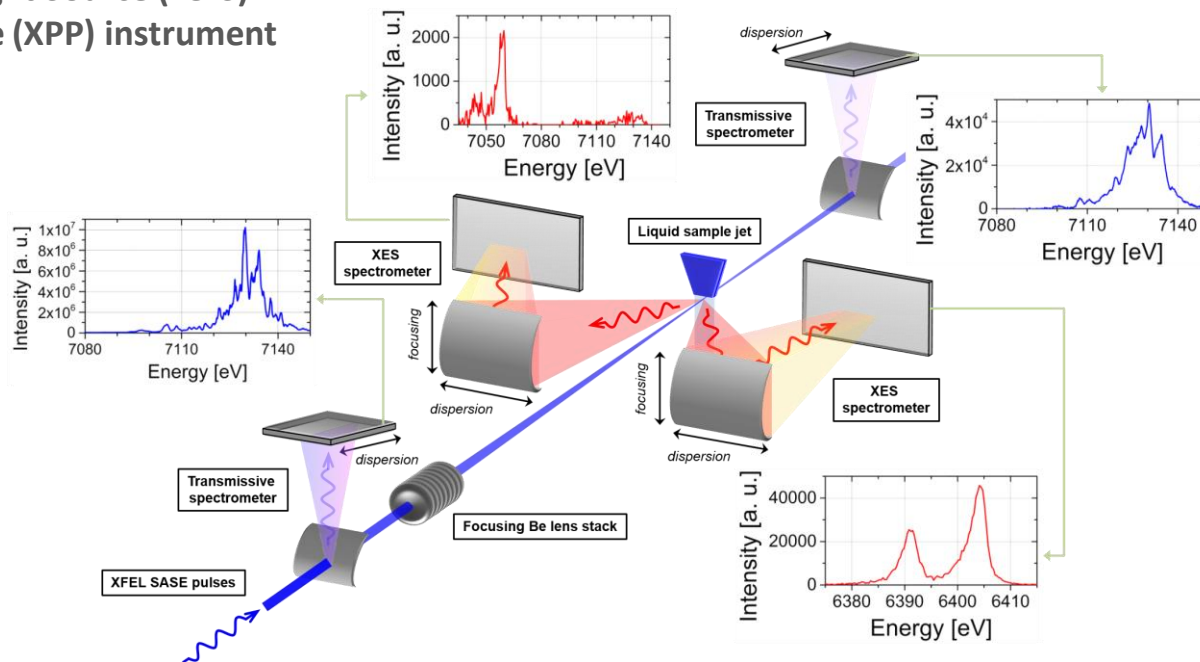
**Two photon absorption (TPA)** is a nonlinear process in which single electron is excited with two different photons – thanks to that, those photons might have only half of the electrons binding energy.



TPA may occur in two ways – sequential or simultaneous. In second case the first photon excite the electron to the virtual intermediate state with extrimely short lifetime, and then the socond one provide the rest of energy required for full excitation.

## Nonlinear RXES spectroscopy using SASE pulses

Linac Coherent Light Source (LCLS)  
X-ray pump-probe (XPP) instrument



### X-ray beam properties:

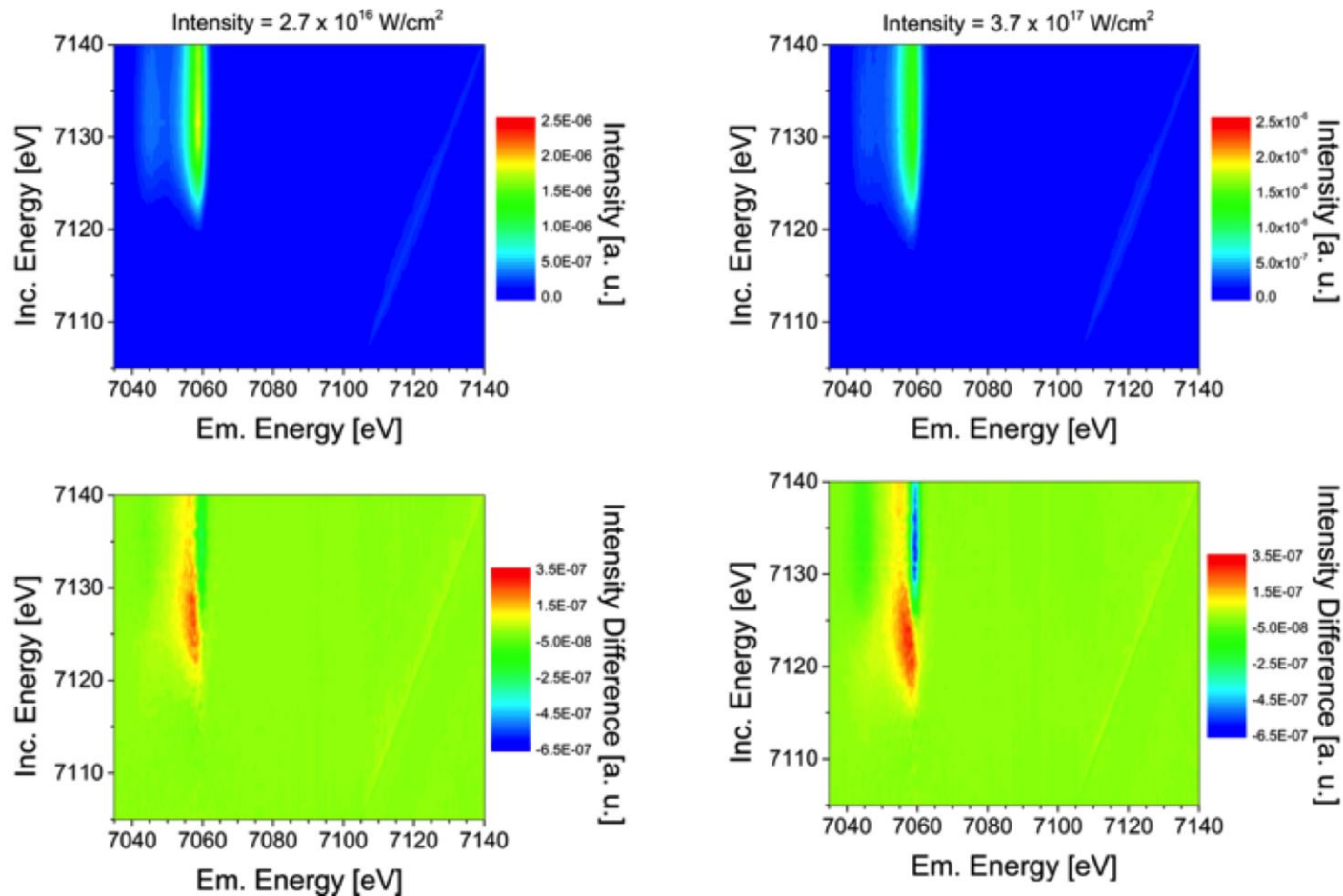
- self-amplified spontaneous emission (SASE)
- repetition rate: 120 Hz
- mean photon energy: 7100 eV (around Fe K-edge)
- pulse duration: 5fs & 30 fs
- number of photons per pulse:  $10^{12}$
- beam spot area on the target changed from 6 to  $241 \mu\text{m}^2$  with Be lenses

### Target:

aqueous dispersion of  $\text{Fe}_2\text{O}_3$  nanoparticles



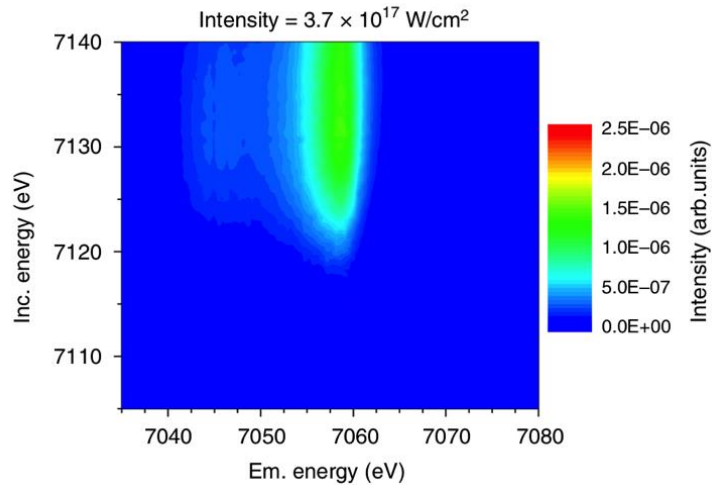
## *Nonlinear RXES around ionization threshold*



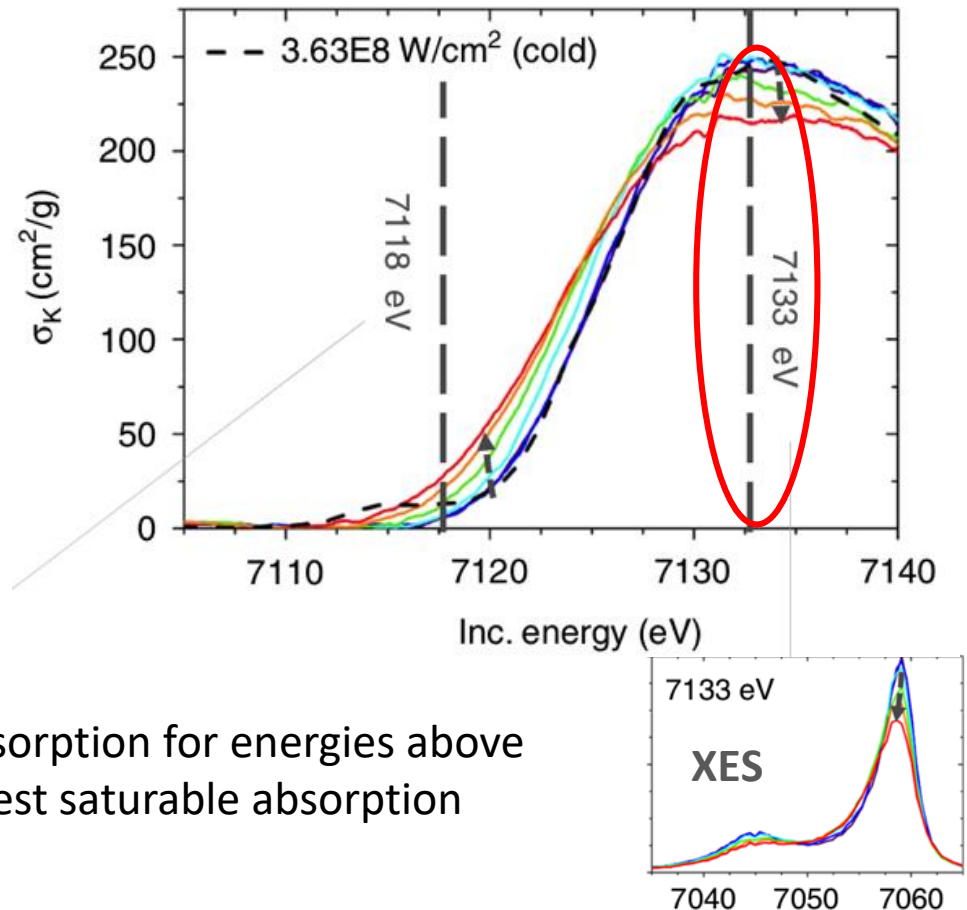
----->  
*increase in X-ray intensity*

## Nonlinear RXES around ionization threshold – saturable absorption

### Resonant X-ray Emission



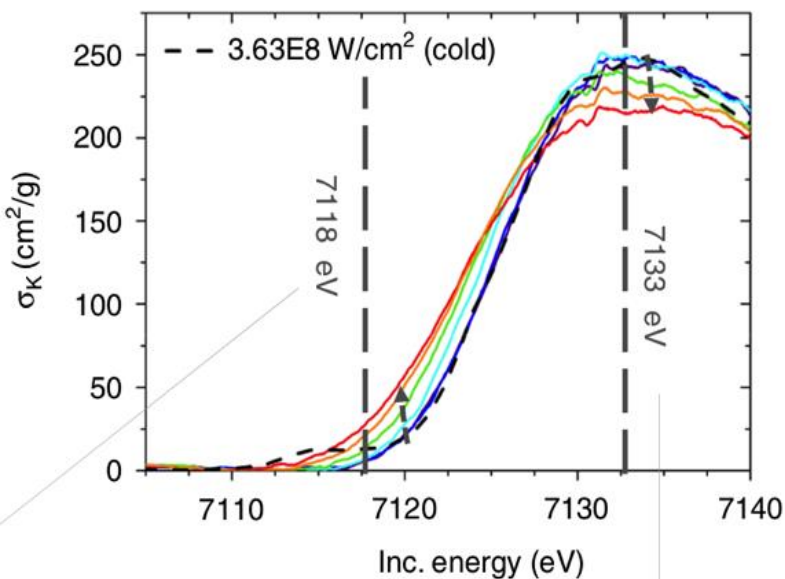
### X-ray absorption spectra



Decrease in absorption for energies above threshold suggest saturable absorption effect

## Nonlinear RXES around ionization threshold – saturable absorption

### X-ray absorption spectra

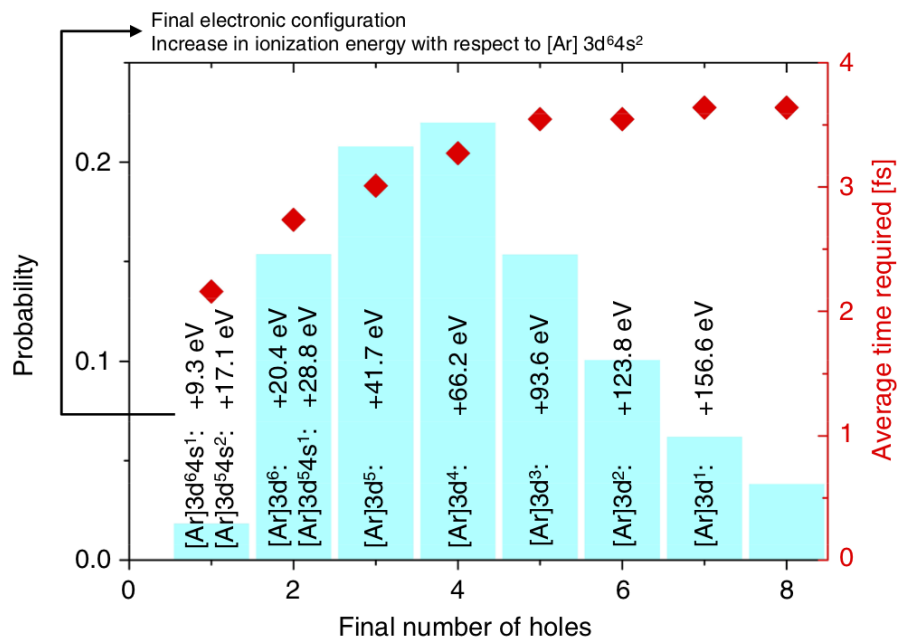


What is the time needed for an atom to fully recover to ground state?



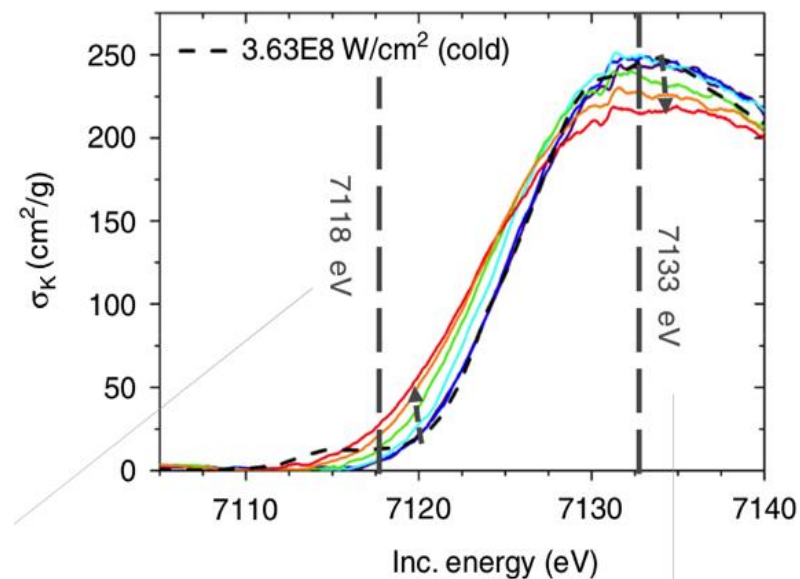
X-ray pump optical probe cross-correlation study of GaAs  $\longrightarrow$  1ns

- 1s core-hole ionization is followed by multiple decay paths and the core-hole is transferred to higher atomic orbitals
- Domination of Auger decay mechanisms leads to multiplication of number of holes at higher states
- Inner-core hole transfer is very fast (sub fsec)

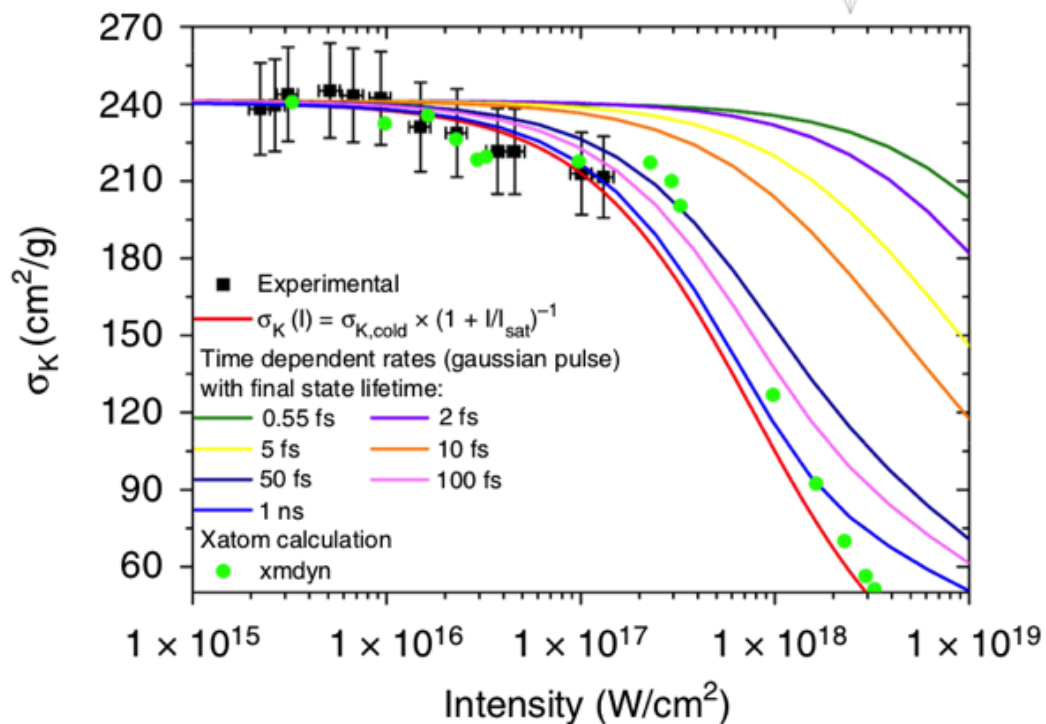


## Nonlinear RXES around ionization threshold – saturable absorption

### X-ray absorption spectra

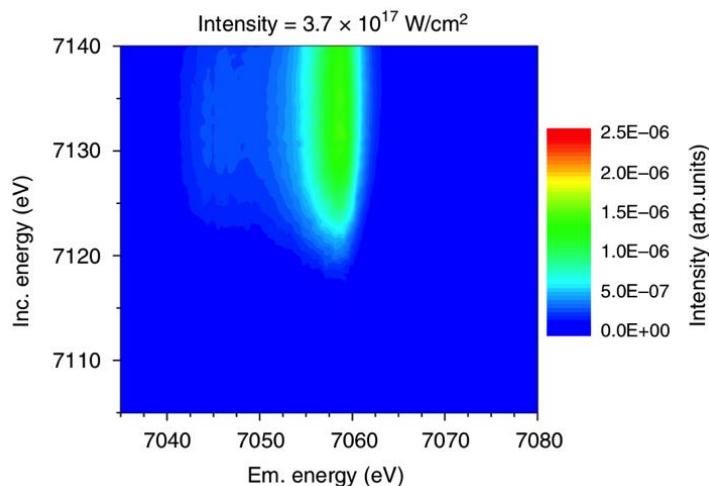


### Rate equation calculations + xmdyn code

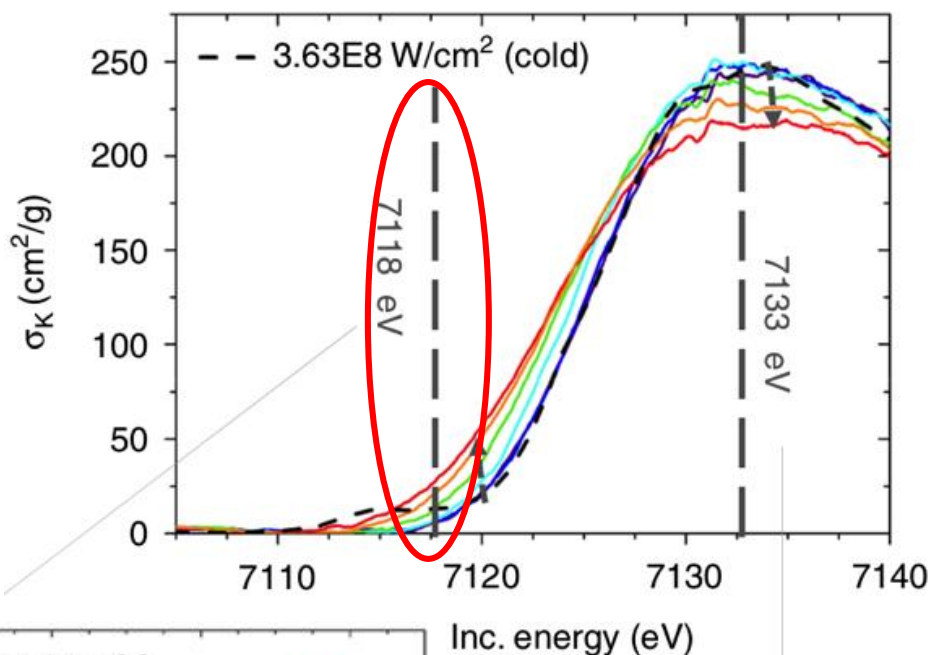


## Nonlinear RXES around ionization threshold – two-photon absorption

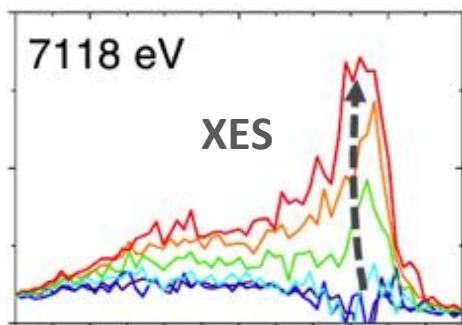
### Resonant X-ray Emission



### X-ray absorption spectra

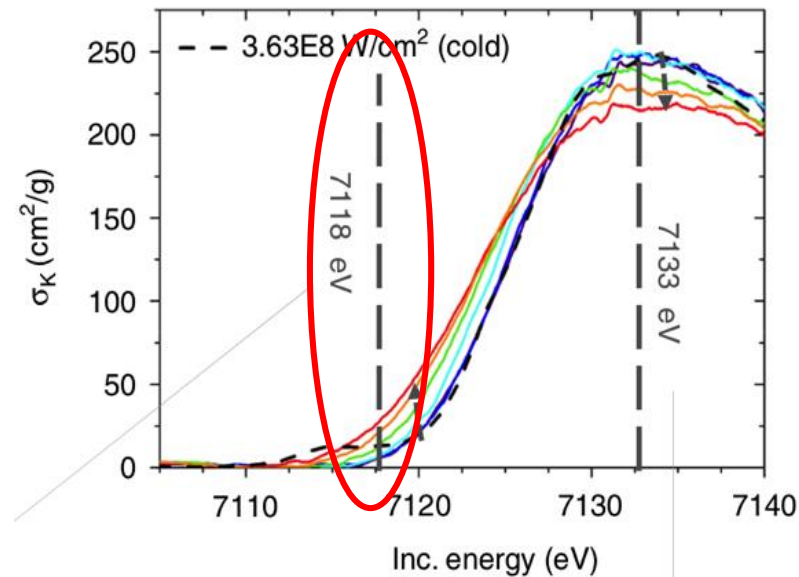


Increase in absorption for energies above threshold suggest two-photon absorption effects

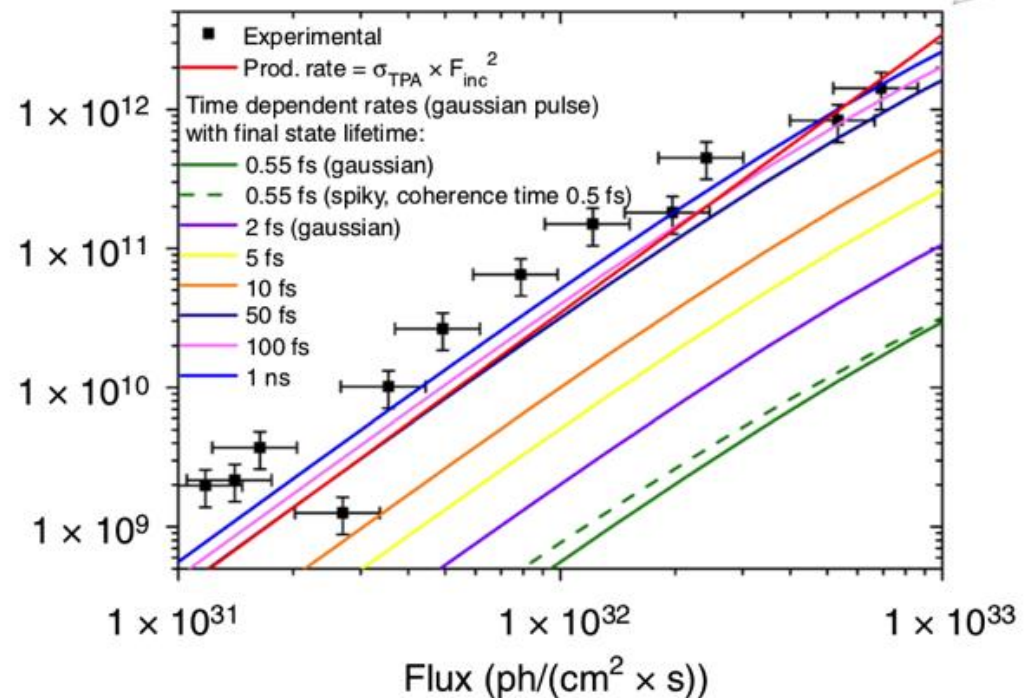


## Nonlinear RXES around ionization threshold – two-photon absorption

### X-ray absorption spectra



### Rate equation calculations





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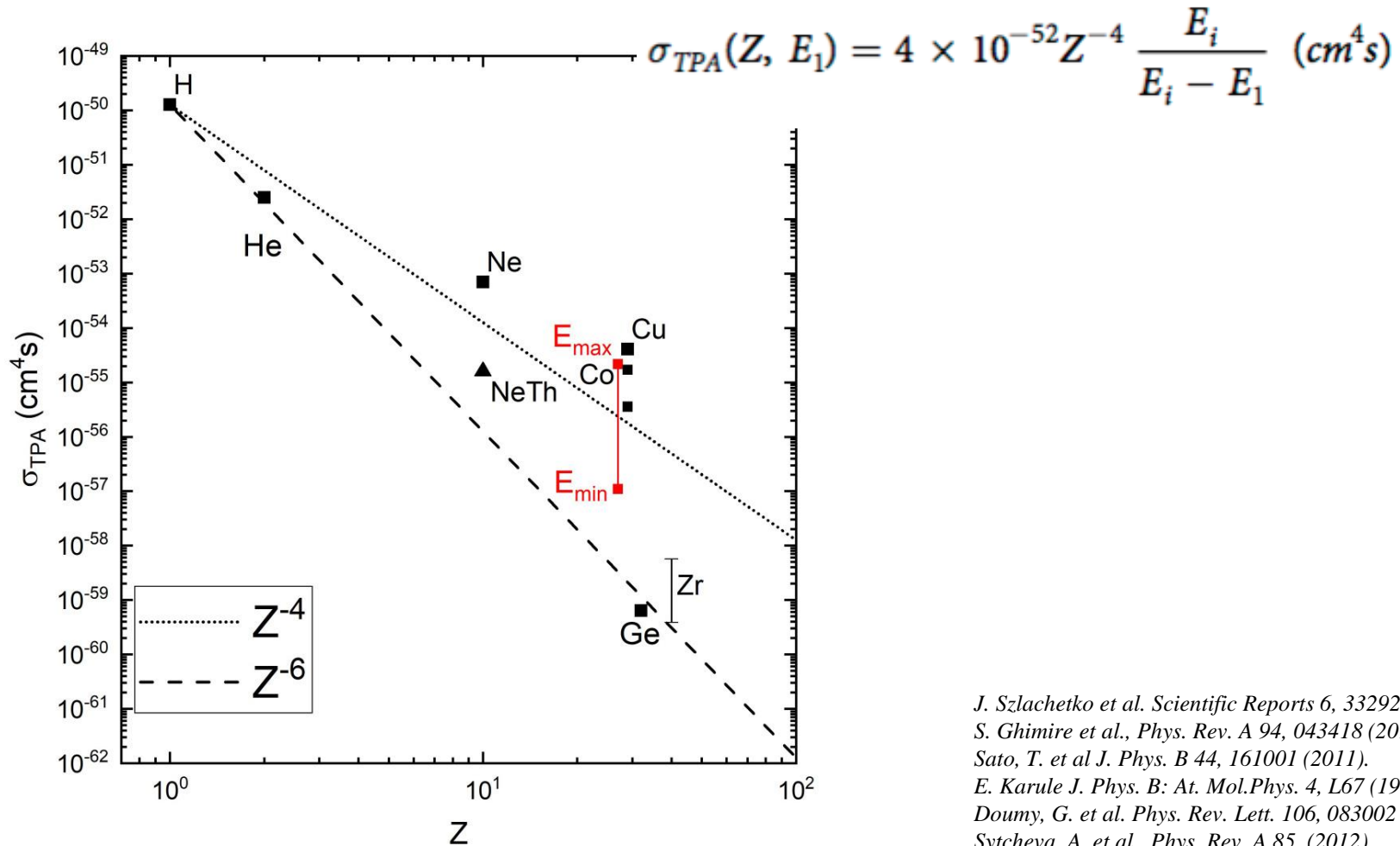
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Thank you for your attention!



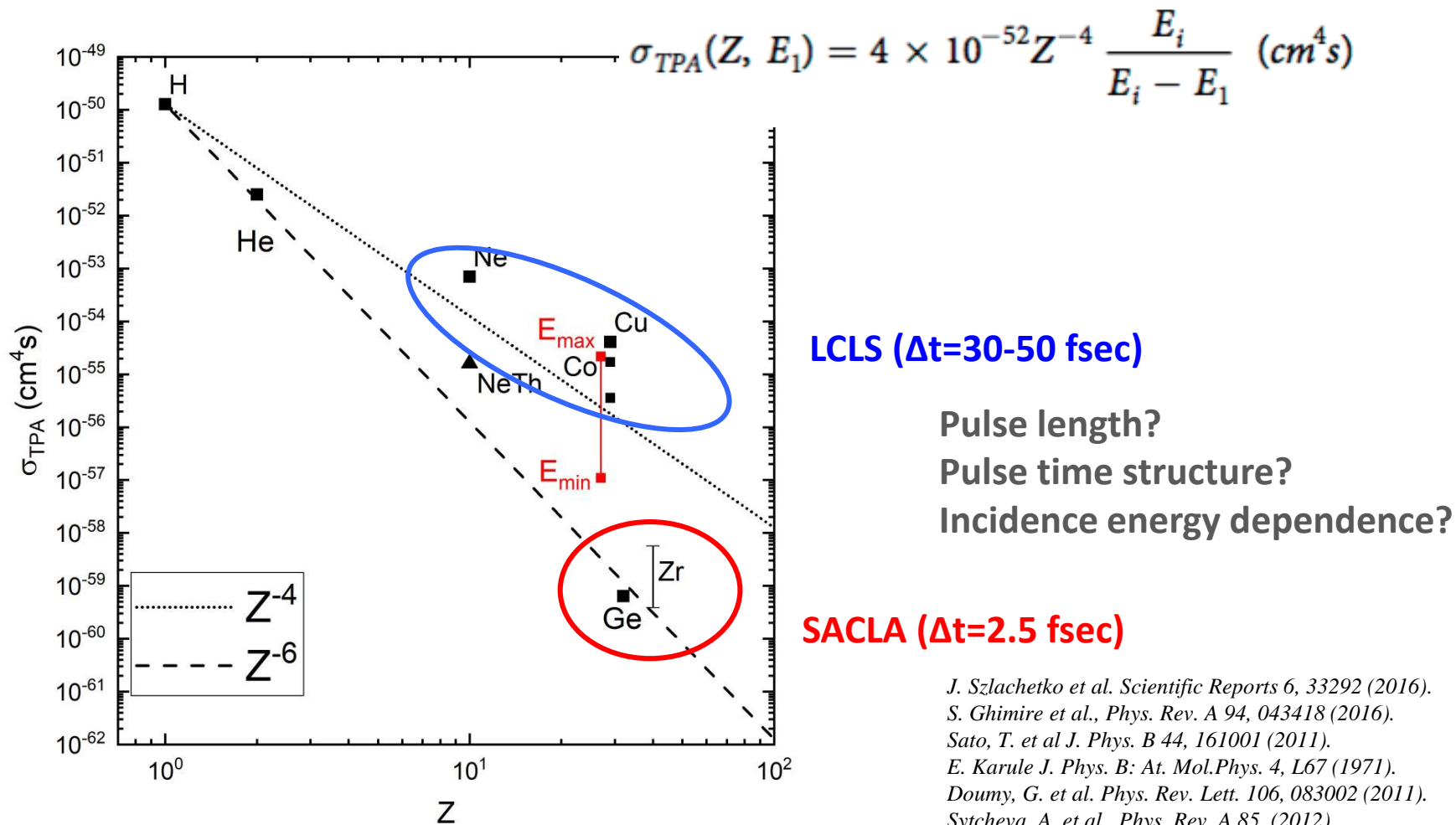
## TPA cross sections – What is Z dependence?



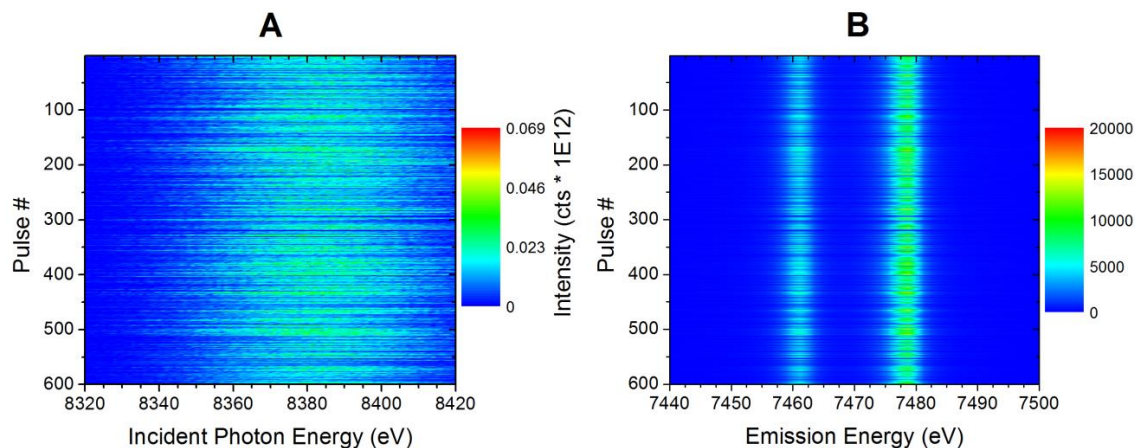
*J. Szlachetko et al. Scientific Reports 6, 33292 (2016).*  
*S. Ghimire et al., Phys. Rev. A 94, 043418 (2016).*  
*Sato, T. et al J. Phys. B 44, 161001 (2011).*  
*E. Karule J. Phys. B: At. Mol. Phys. 4, L67 (1971).*  
*Doumy, G. et al. Phys. Rev. Lett. 106, 083002 (2011).*  
*Sytcheva, A. et al., Phys. Rev. A 85, (2012).*



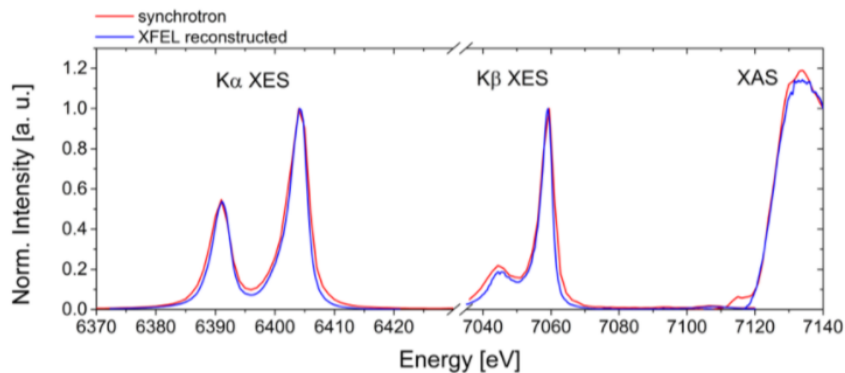
## TPA cross sections – What is Z dependence?



## XES simulations for SASE beams

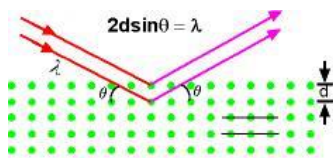


RXES plane is encoded in SASE & XES matrixes



## XFEL radiation

### Temporal broadening of the monochromator



Bragg's Law

Monochromator induce temporal broadening of the incident X-ray pulse (30-40fsec @ Fe K-edge for 2xSi(111) )

*Bushuev, V. et al., Proc. SPIE **8141**, 8141 (2011).*

J.S. WARK AND H. HE, Laser and Particle Beams (1994), vol. 12, no. 3, pp. 507-513

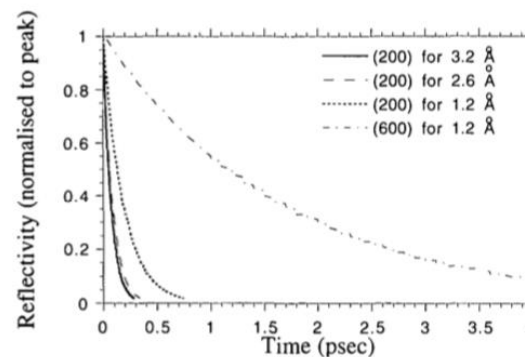


FIGURE 5. Time-dependent angle-integrated reflectivity for kinematic LiF subject to an X-ray pulse significantly shorter than the time taken to traverse an extinction depth.